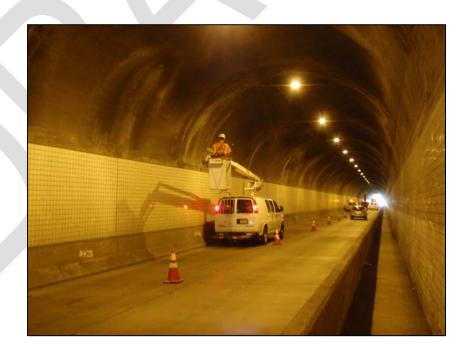


# Specifications for the National Tunnel Inventory



May 2013

## **FOREWORD**

This documented was developed in conjunction with the National Tunnel Inspection Standards (NTIS) and the Tunnel Operations, Maintenance, Inspection and Evaluation Manual (TOMIE). It is intended to supplement the NTIS and provide the specifications for coding data to be submitted to the National Tunnel Inventory (NTI). Data in the NTI will be used to meet legislative reporting requirements and provide the public with information on the number and condition of the Nation's tunnels.

First, we have to acknowledge the initial work done through a joint project between the Federal Highway Administration and the Federal Transit Authority to develop the Highway and Rail Transit Tunnel Inspection Manual in 2003, which was updated in 2005. This document laid the foundation for tunnel inspections on highways using a general condition rating methodology. Just as the inspection needs for bridges have evolved over the years, so to have the inspection needs for tunnels. In this coding document, we move from general condition ratings to element condition states. By moving to element condition states, tunnel owners are able to more easily integrate tunnel inventory data into an asset management program for maintenance and repairs of their tunnels.

We would like to acknowledge those who were involved in the development of this specification, HDR, Bentley, AASHTO T-20 members and the FHWA Review Team.

M. Myint Lwin, P.E., S.E.

Director, Office of Bridges Technology

# **Specifications for the National Tunnel Inventory**

**Report No. FHWA-XX-XXX** 

Prepared by

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## **Section 1—Introduction**

Section 1.1—History

Section 1.2—Purpose of the Specifications Section 1.3—Organization of the Specifications

Section 1.4—Units

**Section 1.5—Definitions** 

Section 1.6—Acronyms

#### 1.1—History

Following the tragic ceiling collapse in the Interstate 90 Connector Tunnel in Boston, Massachusetts on July 10, 2006, the National Transportation Safety Board's Highway Accident Report, NTSB Number HAR-07/02, identified several safety issues including, "*Inadequate regulatory requirements for tunnel inspections*". On July 6, 2012, the President signed the Moving Ahead for Progress in the 21<sup>st</sup> Century Act (MAP-21), which requires the Secretary to establish national standards for tunnel inspections. Recognizing that the safety and security of our Nation's tunnels are of paramount importance, and as a result of the legislative mandate in MAP-21, FHWA established the National Tunnel Inspection Standards and corresponding manuals and guides to accomplish the inspections.

The proper inventory and assessment of the condition of highway tunnel elements is the cornerstone of sound tunnel management. The introduction of element assessment methods in the early 1990s represented a significant advancement in infrastructure inspection practice and has been adopted by the vast majority of all State Transportation Departments in the United States for bridges. Bridge owners nationwide have recognized the benefits of detailed condition assessments through the use of the raw inspection information, expanded performance measures, and bridge management system deterioration forecasting and evaluation. As the use of element level inspection techniques has proliferated, the need to include highway tunnels has been identified. These specifications incorporate tunnel elements including: structural, civil, mechanical systems, electrical systems, lighting systems, fire/life safety/security systems, signs, and protective systems. The goal of these specifications is to comprehensively layout how to inventory and document the condition of tunnels in a way that can be standardized across the nation while providing the flexibility to be adapted to both large and small agency settings. These specifications are not intended to supplant proper training or the exercise of sound engineering judgment by the inspector and/or professional engineer.

The FHWA Specifications for the National Tunnel Inventory builds on the element level condition assessment methods originally developed in the AASHTO Guide for Commonly Recognized Structural Elements and recently improved in the AASHTO Guide Manual for Bridge Element Inspections. The multi-path distress language provides the means to incorporate defects within the overall condition assessment of the element. The overall condition of an element can be utilized in this aggregate form, or broken down into specific defects present as desired by the agency for Tunnel Management System (TMS) use. The complete set of elements capture the components necessary for an agency to manage all aspects of the tunnel inventory utilizing the full capability of a TMS.

#### 1.2—Purpose of the Specifications

These specifications have been prepared for use by State, Federal and other agencies in recording and coding data elements that will comprise the National Tunnel Inventory. By having a complete and thorough inventory, an accurate report can be made to Congress on the number and condition of the Nation's highway tunnels.

The coded items in these specifications are considered to be an integral part of the database that can be used to meet several Federal reporting requirements, as well as part of the States' needs. These requirements are set forth in the National Bridge and Tunnel Inventory and Inspection Standards (Section 144 of Title 23, United States Code). A complete, thorough, accurate and compatible database is the foundation of an effective tunnel management system.

The FHWA Tunnel Operations, Maintenance, Inspection and Evaluation (TOMIE) Manual discussed the various items of information that are to be recorded as part of original tunnel reports. That Manual discusses inspection procedures and the preparation of detailed reports about the tunnel elements. These reports will be the basis for the recording values for many of the data elements shown in the Specifications.

State, Federal and other agencies are encouraged to use the codes and instructions in these Specifications. However, its direct use is optional; each agency may use its own code scheme provided that the data are directly translatable into the Specifications format. When data are requested by FHWA, the format will be based on the codes and instructions in these Specifications. An agency choosing to use its own codes shall provide for translation or conversion of its own codes into those used by these Specifications. In other words, agencies are responsible for having the capability to obtain, store and report certain information about highway tunnels whether or not these Specifications are used. Any requests by FHWA for submittals of these data will be based on the definitions, explanations, and codes supplied in the Specifications and the TOMIE Manual.

#### 1.3—Organization of the Specifications

The FHWA Specifications for the National Tunnel Inventory are organized into the following Sections:

**Section 1** (*Introduction*) is comprised of subsections devoted to History, Purpose of the Specifications, Organization of the Specifications, Units, Definitions and Acronyms.

**Section 2** (*Inventory Items*) is comprised of tunnel inventory items (Identification, Age and Service, Classification, Geometric Data, Inspection, Load Rating and Posting, Navigation, and Structure Type & Material) by category to facilitate ease of use by tunnel inspectors in the field.

**Section 3** (*Elements*) is comprised of tunnel elements (Structural, Civil, Mechanical Systems, Electrical Systems, Lighting Systems, Fire/Life Safety/Security Systems, Signs, and Protective Systems) by general element type, material, and in accordance to their physical location in the tunnel to facilitate ease of use by tunnel inspectors in the field.

**Index of Inventory Items and Elements** is a list of all of the items and elements in this Specification from Sections 2 and 3.

#### Appendix A

#### Appendix B

#### References

#### 1.4—Units

Throughout the Specifications, all units are referenced as English units.

#### 1.5—Definitions

American Association of State Highway and Transportation Officials (AASHTO) Manual. "The Manual for Bridge Evaluation," as published by the American Association of State Highway and Transportation Officials as incorporated by reference in the NBIS, see § 650.317.

<u>At-grade roadway.</u> Paved or unpaved travel ways within the tunnel that carry vehicular traffic and are not suspended or supported by a structural system.

<u>Complex tunnel.</u> A tunnel characterized by advanced or unique structural elements or functional systems.

<u>Damage inspection.</u> This is an unscheduled inspection to assess structural damage resulting from environmental factors or human actions.

<u>Functional systems.</u> Non-structural systems, such as electrical, mechanical, fire suppression, ventilation, lighting, communications, monitoring, draining, traffic signals, emergency response (including egress, refuge room spacing, or carbon monoxide detection), or other traffic safety components.

<u>Hands-on.</u> Inspection within arms length of the component. Inspection uses visual techniques that may be supplemented by nondestructive testing.

<u>In-depth inspection.</u> A close-up inspection of one, several, or all tunnel structural elements or functional systems to identify any deficiencies not readily detectable using routine inspection procedures; hands-on inspection may be necessary at some locations. In-depth inspections may occur more or less frequently than routine inspections, as outlined in the tunnel-specific inspection procedures.

<u>Initial inspection.</u> The first inspection of a tunnel to provide all inventory and appraisal data and to determine the condition baseline of the structural and functional systems.

<u>Legal load.</u> The maximum legal load for each vehicle configuration permitted by law for the State in which the tunnel is located.

<u>Load rating.</u> The determination of the live load carrying capacity within or above the tunnel using structural plans and supplemented by information gathered from a routine, in-depth or special inspection.

<u>National Tunnel Inventory (NTI).</u> The aggregation of structure inventory and appraisal data collected to fulfill the requirements of the National Tunnel Inspection Standards. Each State shall prepare and maintain an inventory of all tunnels subject to the NTIS.

<u>National Tunnel Inspection Standards (NTIS).</u> Federal regulations establishing requirements for inspection procedures, frequency of inspections, qualification of personnel, inspection reports, and preparation and maintenance of a State tunnel inventory. The NTIS apply to all structures defined as tunnels located on all public roads.

<u>Portal.</u> The entrance and exit of the tunnel exposed to the environment; portals may include bare rock, constructed tunnel entrance structure, or buildings.

<u>Routine inspection.</u> A regularly scheduled comprehensive inspection encompassing all tunnel structural elements and functional systems and consisting of observations and measurements needed to determine the physical and functional condition of the tunnel, to identify any changes from initial or previously recorded conditions, and to ensure that tunnel components continue to satisfy present service requirements.

<u>Special inspection.</u> An inspection, scheduled at the discretion of the tunnel owner, used to monitor a particular known or suspected deficiency.

<u>Tunnel.</u> An enclosed roadway for motor vehicular traffic with vehicle access limited to portals, regardless of type of structure or method of construction. Tunnels do not include bridges or culverts inspected under the National Bridge Inspection Standards (23 CFR 650 – Subpart C – National Bridge Inspection Standards). Tunnels are structures that require, based on owner's determination, special design considerations that may include lighting, ventilation, fire protection systems, and emergency egress capacity.

### 1.6—Acronyms

AASHTO – American Association of State Highway and Transportation Officials

ADT – Average Daily Traffic

ADTT - Average Daily Truck Traffic

AS - Allowable Stress

ASD – Allowable Stress Design

CALTRANS – California Department of Transportation

FHWA – Federal Highway Administration

FIPS – Federal Information Processing Standard (standard codes for States)

HAR – Highway Accident Report

HPMS - Highway Performance Monitoring System

ID - Identification

LF - Load Factor

LFD - Load Factor Design

LRFR – Load and Resistance Factor Rating

LRS – Linear Referencing System (spatial coordinate system)

NASA – National Aeronautics and Space Administration

NHS – National Highway System

NTI – National Tunnel Inventory

NTIS – National Tunnel Inspection Standards

NTSB - National Transportation Safety Board

PennDOT – Pennsylvania Department of Transportation

RF - Rating Factor

STRAHNET – Strategic Highway Network

TOMIE - Tunnel Operations, Maintenance, Inventory and Evaluation Manual

# **Section 2—Inventory Items**

**Section 2.1—Introduction** 

Section 2.2—Identification Items

Section 2.3—Age and Service Items

Section 2.4—Classification Items

**Section 2.5—Geometric Data Items** 

Section 2.6—Inspection Items

Section 2.7—Load Rating and Posting Items

Section 2.8—Navigation Items

Section 2.9—Structure Type and Material Items

#### 2.1—Introduction

This section is comprised of tunnel inventory items arranged by category to facilitate ease of use by tunnel inspectors in the field.

Inventory Item Name					
<u>Format</u> XX			<u>Item ID</u> A.#		
S	Specification	Commentar	У		
Detailed descripti inventory item.	on of requirements for each item.		each inventory		
Examples					
Example I	Description	Example Coding			

The format of an item is broken into 6 parts: (1) Inventory Item Name, (2) Format, (3) Item ID, (4) Specification, (5) Commentary and (6) Examples.

The Inventory Item Name is the name used to describe that particular item.

The Format details how the item should be coded by using one of following four descriptions and lengths:

- AN (Alpha Numeric with either a number or unlimited)
  - o AN3 is an example of an alpha numeric with a limit of 3 characters
  - AN-unlimited is an example of an alpha numeric with unlimited character length
  - Leading 0's are required for alpha numeric when limited by a number
- N# (Numeric where # is the length of the field)
  - o N2 is an example of a numerical value, such as 01
  - Leading 0's are required for numeric formats
- FP (X,Y) (Floating Point where X is the length of the number and Y is the number of decimals)
  - o FP(5,1) is an example of a floating point, such as 1016.1
  - o Leading 0's are not required for floating point formats
- D (Date recorded as MMYY)
  - o D is an example of a date, such as 0213

The Item ID is a unique indicator assigned to each tunnel item, it is a letter followed by a number. Inventory items are identified by a letter based on the section and a number based on the order of appearance in that section. Identification items are identified with an "I", Age and Service items are identified with an "A", Classification items with a "C", Geometric Data items with a "G", Inspection items with a "D", Load Rating and Posting items with a "L", Navigation items with "N", and Structure Type & Material items with a "S".

The Specifications and Commentary portions provide the detailed description of each inventory item and some explanation or additional clarification to consider for coding each item.

The Example portion provides examples of how to code the item when compared to certain situations.

#### 2.2—Identification Items

## **Identification Items**

The items in this section uniquely identify and locate the tunnel.

#### Item ID

l.1	Tunnel Number
1.2	Tunnel Name
1.3	State Code
1.4	County Code
l.5	Place Code
I.6	Highway Agency District
I.7	Route Number
1.8	Route Direction

- I.8 Route DirectionI.9 Route TypeI.10 Facility Carried
- I.11 LRS Route ID
  I.12 LRS Mile Point
- I.13 Tunnel Portal's LatitudeI.14 Tunnel Portal's Longitude
- I.15 Border Tunnel State or Country CodeI.16 Border Tunnel Financial Responsibility
- I.17 Border Tunnel Number
- I.18 Border Tunnel Inspection Responsibility

Tunnel Number				
Format AN15			<u>Item ID</u> I.1	
Specification		Commenta	ry	
	ue tunnel number assigned ency policy for each tunnel S Definition.	assigning unique tunnel numbers. Therefore, each State Transportation Department or Federal agency develops their own policy for		
_	he tunnel number once it led and recorded.	It is preferable that one tunnel number be assigned to tunnels with multiple bores including ramps where they are connected, such as those sharing ventilation systems, e When recording separate Tunnel Numbers for tunnels carrying multiple bores it is recommended to append the tunnel number		
with "L", "C" or "R" looking stations ahead, where L=left, C=center, and R=right.  Consult the local FHWA Division office for questions concerning assigning tunnel numbers to unique or complex tunnels.		R=right. sion office for ing tunnel		

Tunnel Name				
<u>Format</u> AN-unlimited			Item ID I.2	
5	Specification	Commenta	ry	
	el name assigned by the unnel is not named, leave	There are no national policies established for		
Examples				
Tunnel Name		<u>Code</u>		
Squirrel Hill Tun	nel	Squirrel Hill Tunnel		
Fort Pitt Tunnel		Fort Pitt Tunnel		
Blue Mountain T	unnel	Blue Mountain Tunnel		

State Code					
Format ILEM ID I.3					
Specification Commentary					
Record the State code where the tunnel is located using one of the codes in the table below.	State codes are derived from the FIPS, Standard Codes For States (FIPS PUB 5-2).				

Code	Description	<u>Code</u>	Description	Code	Description
01	Alabama	22	Louisiana	40	Oklahoma
02	Alaska	23	Maine	41	Oregon
04	Arizona	24	Maryland	42	Pennsylvania
05	Arkansas	25	Massachusetts	44	Rhode Island
06	California	26	Michigan	45	South Carolina
08	Colorado	27	Minnesota	46	South Dakota
09	Connecticut	28	Mississippi	47	Tennessee
10	Delaware	29	Missouri	48	Texas
11	District of Columbia	30	Montana	49	Utah
12	Florida	31	Nebraska	50	Vermont
13	Georgia	32	Nevada	51	Virginia
15	Hawaii	33	New Hampshire	53	Washington
16	Idaho	34	New Jersey	54	West Virginia
17	Illinois	35	New Mexico	55	Wisconsin
18	Indiana	36	New York	56	Wyoming
19	lowa	37	North Carolina	72	Puerto Rico
20	Kansas	38	North Dakota		
21	Kentucky	39	Ohio		

County Code				
<u>Format</u> N3			Item ID I.4	
	Specification	Commenta	ry	
	cord the FIPS code for the county, parish borough in which the tunnel is located.  Use the FIPS codes in the current version the Census of Population and Housing - Geographic Identification Code Scheme to determine the appropriate code.  County, parish or borough codes can be for through a link at the following web site: <a href="http://www.itl.nist.gov/fipspubs/co-codes/states.htm">http://www.itl.nist.gov/fipspubs/co-codes/states.htm</a> Codes for county-equivalent entities of Puer Rico can be found in Appendix A through a link at the above web site.		od Housing - ode Scheme to ode. odes can be found g web site: os/co- entities of Puerto	
Examples				
Count Code		<u>Code</u>		
Lincoln County,	Nebraska	111		
Queens, New Y	ork	081		
Orleans Parish, Louisiana 071				

	Place	e Code	
<u>Format</u> N5			<u>Item ID</u> I.5
5	Specification	Commenta	ry
town, township, designated place located.	S place code for the city, village, and other censuse where the tunnel is there is no FIPS place code ocation.	code for the city, and other census- the tunnel is  Use the FIPS codes in the current version of the Census of Population and Housing - Geographic Identification Code Scheme to determine the city, town, township, village, of other census-designated place code.	
Examples			
Place Code	<u>C</u>	<u>Sode</u>	
Washington, DC	5	0000	
Tallahassee, FL	70600		
North Platte, NE	3	5000	

Highway Agency District				
Format AN2		<u>Item ID</u> I.6		
Specification	Commenta	ıry		
Record the State Transportation Department district or region number/abbreviation where the tunnel is located. Federal Agencies should record this item with their District system.  Where districts or regions are identified by number, use the existing number.  Where districts or regions are identified by name, use an abbreviated name.		mber. re identified by		
Examples				
Highway Agency District	<u>Code</u>			
District Six	06			
Region Two 02				
Northwest Region NW				

	Route Number			
Format AN5			Item ID I.7	
(	Specification	Commenta	ry	
Record the route number that represents the route carried by the tunnel.  Include letters that are used as part of the route numbers.		When the same classification of routes exists, use the route which is of the higher order. Example of I-84 and I-205, I-84 would be coded.		
	e route direction for divided tify that information in the em.			
When multiple routes use the same lane or set of lanes, complete only one Route Number for the lanes using the highest class of route based on Item ID C.7 - Functional Classification.				
Code 00000 for route numbers.	tunnels on roads without			
Examples				
Route Number		<u>Code</u>		
I-35 southbound		00035		
Undivided State	Highway 9W	0009W		
I-35W southbou	I-35W southbound 0035W			
I-35 and US-77 00035				
Road without route number 00000				

Route Direction				
<u>Format</u> N1			<u>Item ID</u> I.8	
5	Specification	Commenta	ry	
following codes tunnel:  Route Direction  Code Desc  Not a  North 2 East	Record the route direction using one of the following codes for the route carried by the tunnel:  Route Direction Code  Code Description  Not a Divided Highway  North  East  South			
Examples				
Route Direction	Route Direction <u>Code</u>			
I-35 southbound 3				
Undivided State	Undivided State Highway 9W 0			
I-35W southbound 3				

Route Type			
<u>Format</u> N1			<u>Item ID</u> I.9
	Specification	Commentary	
Record the route type using one of the following codes:  Code Description  Interstate highway  U.S. numbered highway  State highway  County highway  City street  Federal lands road		When a roadway crosses through Federal lands such as national parks, national forests or department of defense facilities and does not meet the description of codes 1 through 5 then use code 6.  When a roadway crosses through State lands such as State parks or State forests and does not meet the description of codes 1 through 5 then use code 7.  Ramps should be coded based on the higher class of route it connects to.	
When 2 or mor	vise indicated above) e routes are concurrent, the f route will be used. The he order listed above.		
Route Type		Code	
Interstate 35 ar		1	
I-35 southboun	d	1	
Undivided State	e Highway 9W	3	
I-35W southbox		1	
		3	

Facility Carried			
<u>Format</u> AN-unlimited			<u>Item ID</u> I.10
5	Specification	Commenta	ry
	Record the name of the facility that is carried through the tunnel.  The owner may include directional or of descriptive information in this field. Offinames and local names may be included.  The name of the tunnel (i.e. Squirrel Hill Tunnel, Fort Pitt Tunnel, etc.) may be in in this item following the route name.  It is recommended that this field not except the control of the tunnel (i.e. Squirrel Hill Tunnel, Fort Pitt Tunnel, etc.) may be included that this field not except the control of the tunnel (i.e. Squirrel Hill Tunnel, Fort Pitt Tunnel, etc.) may be included that this field not except the control of the tunnel (i.e. Squirrel Hill Tunnel, Fort Pitt Tunnel, etc.) may be included that this field not except the control of the tunnel (i.e. Squirrel Hill Tunnel, etc.) may be included that this field not except the control of the tunnel (i.e. Squirrel Hill Tunnel, etc.) may be included that this field not except the control of the tunnel (i.e. Squirrel Hill Tunnel, etc.) may be included that this field not except the control of the tunnel (i.e. Squirrel Hill Tunnel, etc.) may be included that this field not except the control of the tunnel (i.e. Squirrel Hill Tunnel, etc.) may be included that this field not except the control of the tunnel (i.e. Squirrel Hill Tunnel, etc.) may be included that this field not except the control of the tunnel (i.e. Squirrel Hill Tunnel, etc.) may be included the control of the control of the tunnel (i.e. Squirrel Hill Tunnel, etc.) may be included the control of the control		s field. Official by be included. Squirrel Hill by may be included the name.
Examples			
Facility Carried		Code	
Interstate 90 - M	lassachusetts Turnpike	Interstate 90 - Massachusetts	Turnpike
Interstate 64		Interstate 64	
Aurora Avenue,	SR99	Aurora Avenue, SR99	
John Hanson Hi	ghway	John Hanson Highway	
1376 – Squirrel H	Hill Tunnel	1376 - Squirrel Hill Tunnel	
I376 – Fort Pitt	Funnel, Inbound	1376 – Fort Pitt Tunnel, Inbound	d

LRS Route ID			
Format AN60		<u>Item ID</u> I.11	
Specification	Commenta	ry	
Record the linear referencing system (LRS) Route ID that identifies the roadway on which the tunnel is located. Use the LRS Route ID which has been defined by the State for the Highway Performance Monitoring System (HPMS) for reporting purposes.  The LRS Route ID is not as the route number pos roadway, but is a numbe identify a route within at perhaps throughout the State for the Highway Performance Information System (GIS mapping purposes.		along the ed to uniquely at a county and e for Geographic	
The LRS Route ID must match what is reported in HPMS. The LRS Route ID can be left blank if it is not available in HPMS.  Not all 60 format spaces must be filled.			

LRS Mile Point			
Format FP (8,3)			<u>Item ID</u> I.12
5	Specification	Commenta	ry
Record the LRS mile point to the nearest thousandth.  For tunnels carrying an LRS inventory route, record the mile point at the tunnel portal for which the lowest LRS Mile Point occurs.  The LRS mile point can be left blank if it is not available in HPMS.		The LRS mile point is used to location of the tunnel on the The mile point must be repowith the LRS inventory route system that is used for HPM purposes.	inventory route. rted in accordance and mile point
Examples			
LRS Mile Point	!	<u>Code</u>	
130.344		130.344	
9.600		9.6	
No mile point		(blank)	

Tunnel Portal's Latitude			
FP (11,8)		<u>Item ID</u> I.13	
Specification	Commentary		
Record the latitude of the tunnel portal in decimal degrees for all tunnels.  Record the latitude at the same location for the Item ID I.12 - LRS Mile Point. When Item ID I.12 - LRS Mile Point is blank, record the latitude at the tunnel portal on the edge of the right traveled way in the direction of the route at the lowest mile point.	Values recorded are assume northern hemisphere	ed to be for the	
Examples			
Tunnel Portal's Latitude	<u>Code</u>		
25° 27' 18.55"	25.45515278		
31° 5' 50.65"	31.09740278		

Tunnel Portal's Longitude			
<u>Format</u> FP (11,8)			<u>Item ID</u> I.14
5	Specification	Commentary	
Record the longitude of the tunnel portal in decimal degrees for all tunnels.  Record the longitude at the same location for the Item ID I.12 - LRS Mile Point. When Item ID I.12 - LRS Mile Point is blank, record the longitude at the tunnel portal on the edge of the right traveled way in the direction of the route at the lowest mile point.		Values recorded are assume northern hemisphere	ed to be for the
Examples			
Tunnel Portal's	<u>Longitude</u> <u>C</u>	<u>Code</u>	
65º 27' 18.55"	6	5.45515278	
75° 13' 26.69"	7	5.22408206	

	Border Tunnel Sta	ate or Country Code	
Format AN2			Item ID I.15
SI	pecification	Commenta	ıry
Record the neighboring State's code using the codes listed in the Item ID I.3 - State Code item.  Record this item for border tunnels when any owner within the State's geographical boundaries has some or all of the inspection, preservation, improvement or replacement responsibility.		Use this item to indicate tuni borders of states or countrie  Consistency of submitted da with shared border tunnel inspreservation, improvement or responsibility is essential.	s. ta by agencies spection,
Record the value CN for Canada or MX for Mexico when the tunnel crosses those borders.			
Leave item blank when the tunnel does not cross a border with another State or Country or when no owner within the state's geographical boundaries has any inspection, preservation, improvement or replacement responsibility.			
Examples			
Border Tunnel Sta	Border Tunnel State or Count Code Code		
Michigan Border Tunnel with Canada CN			
New York Border Tunnel with New Jersey 34			

Border Tunnel Financial Responsibility				
<u>Format</u> N3			<u>Item ID</u> I.16	
(	Specification	Commenta	Commentary	
Record the total percent financial responsibility for all entities within the State's geographical boundaries regardless of ownership.  Leave item blank when the tunnel does not cross a border with another State or Country or when no owner within the state's geographical boundaries has any inspection, preservation, improvement or replacement responsibility.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.  The intent of the financial responsibility of the authorities, etc.		The intent of this item is to of financial responsibility for all State's geographical bounds ownership of the tunnel (State authorities, etc.) and to compresponsibility with neighboring countries.  Financial responsibility inclusion future financial responsibility preservation, improvement of whether by agency or contrast financial responsibility may be interagency agreements or responsibility may be interested in the contraction of	entities within the aries, regardless of te, city, county, toll pare financial ng states or des current and es for inspection, or replacement act forces. Agency be documented in memorandums of	
Examples				
Border Tunnel Financial Responsibility Code				
55% Responsib	ility	55		
100% Responsi	bility	100		

Border Tunnel Number			
Format AN15			Item ID I.17
(	Specification	Commenta	ry
Record the neighboring state's exact tunnel number as used in the Item ID I.1 - Tunnel Number item.  Record this item for border tunnels when any owner within the state's geographical boundaries has shared responsibility for inspection, preservation, improvement or replacement.			
Leave item blank when the tunnel does not cross a border with another State or Country or when no owner within the state's geographical boundaries has any inspection, preservation, improvement or replacement responsibility. Also leave blank when the bordering country does not have a tunnel number.			

Border Tunnel Inspection Responsibility				
Format N1			Item ID I.18	
	Specification	Commenta	ry	
Record the border tunnel inspection responsibility for any entity within the State's geographical boundaries regardless of ownership using one of the following codes:		tunnel inspection responsibil within the State's geographic	tent of this item is to capture the border inspection responsibility for any entity the State's geographical boundaries, lless of ownership of the tunnel (State,	
1 Shared State of	ption sponsibility d responsibility with bordering or country sponsibility	Agency inspection responsibility may be documented in interagency agreements or		
Leave item blank when the tunnel does not cross a border with another State or Country or when no owner within the state's geographical boundaries has any inspection, preservation, improvement or replacement responsibilities.				

## 2.3—Age and Service Items

## **Age and Service Items**

The items in this section define when the tunnel was constructed, when it was reconstructed and the tunnel's level of service.

#### Item ID

- A.1 Year Built
- A.2 Year Rehabilitated
- A.3 Total Number of Lanes
- A.4 Average Daily Traffic
- A.5 Average Daily Truck Traffic
- A.6 Year of Average Daily Traffic
- A.7 Detour Length
- A.8 Service in Tunnel

Year Built			
<u>Format</u> N4			Item ID A.1
5	Specification	Commenta	ry
Record the year of construction of the structure. Code all 4 digits of the year in which construction of the structure was completed.  If the year built is unknown, provide a best estimate. See also Item ID A.2 - Year Rehabilitated.			
Examples			
Year Built		<u>Code</u>	
1956		1956	
2012		2012	▼

	Year Rehabilitated			
Format N4			Item ID A.2	
5	Specification	Commenta	ary	
of the structure. latest year in wh structure was co no rehabilitation  For a tunnel to be the type of work meets current meets current meets current meets have been eligible the Federal-aid  The eligibility cri work performed source.	of most recent rehabilitation Code all 4 digits of the lich rehabilitation of the lich rehabilitation of the lich rehabilitation of the lich rehabilitation of the lich replaced. If there has been code 0000.  In defined as rehabilitated, performed, whether or not it linimum standards, must lich for funding under any of funding categories.  Iteria would apply to the regardless of funding	considered as rehabilitation are:  - Safety feature replacement or upgrading (fexample, tunnel rail, approach guardrail or impact attenuators) Painting of structural steel Overlay of tunnel deck as part of a larger highway surfacing project (for example,		
Examples		\\		
Year Rehabilitat	_	<u>Code</u>		
1985		1985		
Never rehabilita	ted	0000		

Total Number of Lanes				
Format N2				Item ID A.3
Specification			Commentary	
Record the number of lanes being carried through the tunnel as a 2-digit number.			Include all lanes carrying highway traffic (i.e., cars, trucks, buses) which are striped or otherwise operated as a full width traffic lane for the entire length of the tunnel. This shall include any full width merge lanes and ramp lanes, and shall be independent of directionality of usage.	
Examples				
Total Number of	Lanes	C	<u>ode</u>	
Two lanes inbou	ınd, two lands outbound	0	4	
One land inbour	nd, two lanes outbound	0	3	

Average Daily Traffic				
<u>Format</u> N6			<u>Item ID</u> A.4	
Specification		Commentary		
Record a 6-digit number that shows the most recent average daily traffic (ADT) count available for the inventory route identified in Item ID I.7 - Route Number. If the tunnel is closed, code the actual ADT from before the closure occurred.		Included in this item are the trucks referred to in Item ID A.5 – Average Daily Truck Traffic.		
Examples				
Average Daily Traffic Code				
15,600	015600			
24,000	0	24000		

Average Daily Truck Traffic				
Format N2			<u>Item ID</u> A.5	
Specification		Commentary		
Record a 2-digit percentage that shows the percentage of Item ID A.4 – Average Daily Traffic that is truck traffic. Do not include vans, pickup trucks and other light delivery trucks in this percentage.		If this information is not available, an estimate which represents the average percentage for the category of road carried by the tunnel may be used.  May be left blank if Item ID A.4 - Average Daily Traffic is not greater than 100.		
Examples				
Average Daily T	ruck Traffic (	<u>Code</u>		
7% trucks	(	07		
12% trucks	1	12		

Year of Average Daily Traffic				
Format			Item ID	
N4			A.6	
Specification		Commentary		
Record all four digits of the year represented by the ADT in Item ID A.4 – Average Daily Traffic.				
Examples				
Year of Average Daily Traffic Code				
1999				

Detour Length				
Format N3			<u>Item ID</u> A.7	
5	Specification	Commentary		
Record the actual length to the nearest mile of the detour length. The detour length should represent the total additional travel for a vehicle which would result from closing of the tunnel.		If multiple bores exist, and following an accident, one of the bores can be used to detour traffic code as 001. If an accident would result in the closure of all bores for an extended period of time, then code the detour length for the additional travel length.  The factor to consider when determining if a bypass is available at the site is the potential for moving vehicles, including military vehicles, around the tunnel.		
Examples				
Detour Length	<u>C</u>	<u>Code</u>		
121 miles	1	21		
Multiple bore tur	nnel 0	01		



**Example of Multiple Bore Tunnel** 

Service in Tunnel				
Format N1			<u>Item ID</u> A.8	
Specification		Commentary		
Record the type of service in the tunnel using a 1-digit code.  The types of service in the tunnel and shall be coded using one of the following codes:  1 = Highway  2 = Highway and Railroad  3 = Highway and Pedestrian  4 = Highway, Railroad and Pedestrian  5 = Other		Bicycle lanes or paths should be coded as pedestrian.		
Examples				
Service in Tunne	<u>el</u> <u>(</u>	<u>Code</u>		
Highway	1			
Highway/railroad	d 2	2		

#### 2.4—Classification Items

## **Classification Items**

The items in this section define the owner, operator and highway classification of the tunnel.

- C.1 Owner
- Operator C.2
- C.3 Direction of Traffic
- C.4 Toll
- C.5
- NHS Designation STRAHNET Designation Functional Classification C.6
- C.7

	Owner			
	rmat N2			Item ID C.1
	5	Specification	Commentary	
codes	below to i	er of the tunnel using the represent the type of agency ry owner of the structure.	If more than one agency has equal ownership, code one agency in the hierarchy of State, Federal, county, city, railroad, other, and private.	
	· <u> </u>			
01 02 03 04	County Town or	ighway Agency Highway Agency r Township Highway Agency Municipal Highway Agency		
11	State Pa	ark, Forest, or Reservation		
12	Agency			Ť
21		tate Agencies		
25 26		ocal Agencies (other than railroad)		
27	Railroad	,		
31		oll Authority		
32		oll Authority		
60	Other Fo	ederal Agencies (not listed		
61		ribal Government		
62		of Indian Affairs		
63	2000000000	of Fish and Wildlife		
64		rest Service		
66		Park Service		
67		see Valley Authority		
68 69		of Land Management of Reclamation		
70		or Reciamation f Engineers (Civil)		
70		f Engineers (Civii) f Engineers (Military)		
72	Air Forc			
73	Navy/Ma			
74	Army	amios		
75	NASA			
76		olitan Washington Airports		
80	Unknow			

Examples		
<u>Owner</u>	<u>Code</u>	
CALTRANS	01	
PennDOT and City of Pittsburgh each own 50%	01	



Operator			
Format N2			Item ID C.2
Specification		Commentary	
Record the agency responsible for the maintenance of the tunnel using the codes from Item ID C.1- Owner to represent the type of agency that has primary responsibility for maintaining the structure.		If more than one agency has maintenance responsibility, or in the hierarchy of State, Fed railroad, other, and private.	code one agency

Direction of Traffic				
<u>Format</u> N1			Item ID C.3	
9	Specification	Commentary		
Record the direction of traffic of the inventory route identified in Item ID I.7 – Route Number as a 1-digit number using one of the codes below.		Code 3, Variable traffic is intended to cover those tunnels in which the direction of traffic can be changed.  One lane 2-way traffic occurs when 2 lanes		
The first and second digits indicate the types of service in the tunnel and shall be coded using one of the following codes:  Code Description Highway traffic not carried		approach a narrow unstriped vehicles to alternate turns the When coding a tunnel with material traffic moves in both direction the individual traffic direction	tunnel requiring rough the tunnel.  nultiple bores, if ns regardless of	
2 3	<ul><li>1-way traffic</li><li>2-way traffic</li><li>Variable traffic</li></ul>	code as 2-way traffic.		
4	One lane 2-way traffic			

Toll				
<u>Format</u> N1			<u>Item ID</u> C.4	
	Specification	Commenta	Commentary	
tolls, has a tol route for the i	er the inventory route has no I at the tunnel or is on a toll nventory route identified in Route Number.			
Use one of the Code	e following codes: <u>Description</u>			
0	No tolls.			
1	Toll at tunnel.			
2	Located on toll route.			

NHS Designation					
<u>Format</u> N1				<u>Item ID</u> C.5	
5	Specification		Commenta	ry	
Record whether the inventory route is on the National Highway System (NHS) or not on that system for the inventory route identified in Item ID I.7 – Route Number.  Use one of the following codes:					
Code Description  O Inventory Route is not on the NHS.					
1 Invento	ory Route is on the NHS.				

STRAHNET Designation				
Format N1			<u>Item ID</u> C.6	
	Specification	Commenta	ry	
Record whether the inventory route is on the STRAHNET or not on that system for the inventory route identified in Item ID I.7 – Route Number. For the purposes of this item, the STRAHNET Connectors are considered included in the term STRAHNET. Indicate STRAHNET highway conditions using one of the following codes:				
	he following codes: escription			
	ventory Route is not a RAHNET route.			
	ventory Route is a STRAHNET ute.			

Functional Classification				
Format N2		Item ID C.7		
Specification	Commenta			
Record the functional classification for the inventory route identified in Item ID I.7 – Inventory Route using a two-digit number comprised of a first digit (Urban or Rural) and a second digit (System Classification) of the following codes:				
Two part code for Functional Classification –  Urban or Rural (first digit)  1 = Urban  2 = Rural				
System Classification (second digit)				
1 = Interstate				
2 = Principal Arterial – Other Freeways or Expressways				
3 = Principal Arterial – Other				
4 = Minor Arterial				
5 = Major Collector				
6 = Minor Collector				
7 = Local				
Examples				
<u>Functional Classification</u> <u>Code</u>				
Rural minor collector 26				
Urban Interstate 1	1			

### 2.5—Geometric Data Items

## **Geometric Data Items**

The items in this section define the geometric data of the tunnel.

- **Tunnel Length** G.1
- Minimum Vertical Clearance over Tunnel Roadway G.2
- G.3
- Roadway Width, Curb-to-Curb Left Curb and Right Curb Widths G.4

Tunnel Length					
<u>Format</u> N6			<u>Item ID</u> G.1		
5	Specification	Commenta	ry		
Record a 6-digit number to represent the length of the tunnel to the nearest foot. The length shall be measured along the center line of roadway between the faces of the portals.		When a tunnel is broken into longitudinal sections, the length should be for the section of the tunnel being recorded.  Multiple bores recorded as a single tunnel only have the length of one bore coded, i.e. a west bound bore and an east bound bore would only have one length coded.			
Examples	Examples				
Tunnel Length	<u> </u>	<u>Code</u>			
860.4 feet	0.4 feet 000860				
2,400 feet	C	002400			

Minimum Vertical Clearance over Tunnel Roadway				
Format FP(5,1)			<u>Item ID</u> G.2	
5	Specification	Commenta	ry	
Record the minimum vertical clearance between the mainline tunnel roadway surface and any overhead restriction within the tunnel.		which a vehicle can travel, in shoulders.  Ramps should be excluded a part of a tunnel system. The determine the restrictions of of the tunnel.  Vertical clearance, as shown below, represents the Minim	The roadway surface includes any surface on which a vehicle can travel, including shoulders.  Ramps should be excluded when included as part of a tunnel system. The intent is to determine the restrictions of the primary route	
Examples				
Minimum Vertica Over Tunnel Ro		<u>Code</u>		
16.54 feet		16.5		
20.00 feet		20.0		

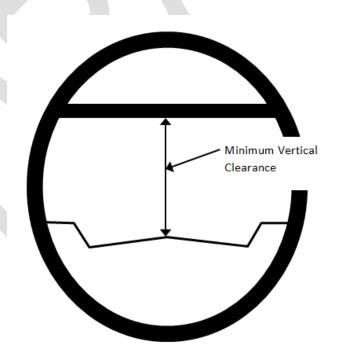


Figure 2.6.1 - Drawing of Minimum Vertical Clearance

Roadway Width, Curb-to-Curb				
			Item ID G.3	
Specification Commentary			ary	
Record the most restrictive minimum distance between curbs or rails on the mainline tunnel roadway.		Ramps should be excluded when included as part of a tunnel system. The intent is to determine the restrictions of the primary route of the tunnel.		
Roadway Width, Curb-to-Curb Code				
24.00 feet 24.0				
30.43 feet 30.4				
	restrictive minimum n curbs or rails on the oadway.	restrictive minimum n curbs or rails on the oadway.  Curb-to-Curb  Curb-to-Curb	restrictive minimum n curbs or rails on the oadway.  Curb-to-Curb  Code 24.0  Commenta  Ramps should be excluded part of a tunnel system. The determine the restrictions of the tunnel.	

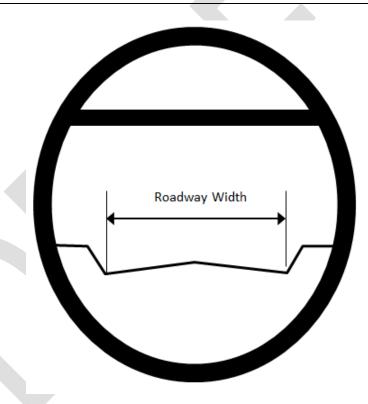


Figure 2.6.2 - Drawing of Roadway Width

Left Curb and Right Curb Widths				
Format N6			Item ID G.4	
(	Specification	Commenta	ary	
Record two contiguous 3-digit numbers to represent the widths of the left and right curbs or sidewalks to nearest tenth of a foot (with assumed decimal points). This is a 6-digit number composed of 2 segments, with the leftmost 3 digits representing the left curb or sidewalk and the rightmost 3 digits representing the right curb or sidewalk.  "Left" and "Right" should be determined on the basis of direction of the inventory route.  Description Length Left curb/sidewalk width 3 digits		S- ch		
Right curb/sidev  Examples	valk width 3 digits			
Curb or Sidewal	<u>k</u>	Code		
Left : none Right: 6.9 feet 0		000069		
Left : 5.0 feet	Right: 5.0 feet	050050		
Left : none	Right: none	000000		
Left : 1.8 feet	Right: 3.6 feet	018036		

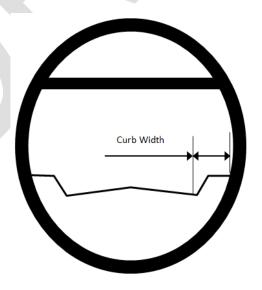


Figure 2.6.3 - Drawing of Curb Width

### 2.6—Inspection Items

## **Inspection Items**

The items in this section describe when inspections were performed and the type of inspections performed.

- D.1 Routine Inspection Target Date
- D.2 Actual Routine Inspection Date
- D.3 Routine Inspection Interval
- D.4 In-Depth Inspection
- D.5 Damage Inspection
- D.6 Special Inspection

Routine Inspection Target Date				
<u>Format</u> N4			<u>Item ID</u> D.1	
5	Specification	Commenta	ry	
		This date should only be mo Program Manager in rare cir		
Examples				
Routine Inspection Target Date Code				
November 1999	1	199		
August 2012	(	0812		

Actual Routine Inspection Date				
Format N4			<u>Item ID</u> D.2	
5	Specification	Commenta	ry	
Record the month and year that the actual routine inspection of the tunnel was performed. Code a 4-digit number to represent the month and year. The number of the month should be coded in the first 2 digits with a leading zero as required and the last 2 digits of the year coded as the third and fourth digits of the field.		en the routine		
Examples				
Actual Routine Inspection Date <u>Code</u>				
November 1999	1	199		
August 2012	0	812		

Routine Inspection Interval				
Format N2			<u>Item ID</u> D.3	
5	Specification	Commenta	ıry	
Record two digits to represent the number of months between designated routine inspections. A leading zero shall be coded as required.  The designated inspection interval could var from inspection to inspection depending on to condition of the tunnel at the time of inspection and the procedures established by the individual in-charge of the inspection program.		n depending on the time of inspection ned by the		
Examples				
Routine Inspecti	on Interval C	<u>Code</u>		
Every 6 months	C	06		
Every 24 months	s 2	24		

In-Depth Inspection				
Format N1		<u>Item ID</u> D.4		
Specification	Commentary			
Record this item for all records in the inventory. For the tunnel identified in Item ID I.1 – Tunnel Number, record whether the tunnel has an In-Depth Inspection scheduled.  Use one of the following codes:  Code Description  O In-Depth Inspection has not been scheduled.  1 In-Depth Inspection has been scheduled.	A close-up inspection of one tunnel structural elements or systems to identify any defic detectable using routine inspections; hands-on inspections may occur more than routine inspections, as tunnel-specific inspection pro	functional iencies not readily pection ction may be i. In-depth or less frequently outlined in the		

	Damage Inspection			
<u>Forr</u> N				<u>Item ID</u> D.5
	5	Specification	Commentary	
Record this item for all records in the inventory. For the tunnel identified in Item ID I.1 – Tunnel Number, record whether the tunnel has a Damage Inspection performed. This should be coded as 1 if a damage inspection has been performed since the previous routine inspection (Item ID D.2 – Actual Routine Inspection Date).  Use one of the following codes:		ne tunnel identified in Item Number, record whether the mage Inspection performed. oded as 1 if a damage een performed since the inspection (Item ID D.2 – hspection Date).	This is an unscheduled inspessive structural damage resulting from environmental factors or hunder the structural damage.	rom
Code	Code Description			
0	Damag perforr	ge Inspection has not been med.		
1	Damag perforr	ge Inspection has been med.		

Special Inspection				
Forn N			<u>Item ID</u> D.6	
	Specification	Commentary		
Record this item for all records in the inventory. For the tunnel identified in Item ID I.1 – Tunnel Number, record whether the tunnel has a Special Inspection scheduled.  Use one of the following codes:		An inspection, scheduled at the tunnel owner, used to m known or suspected deficien	onitor a particular	
<u>Code</u>	<u>Description</u>			
O Special Inspection has not been scheduled.				
1	Special Inspection has been scheduled.			

### 2.7—Load Rating and Posting Items

## **Load Rating and Posting Items**

The items in this section are related to load rating and posting of the highway tunnel.

- L.1 Load Rating Method
- L.2 Rating Factor for AASHTO Type 3 Truck
- L.3 Rating for AASHTO Type 3 Truck
- L.4 Rating Factor for AASHTO Type 3S2 Truck
- L.5 Rating for AASHTO Type 3S2 Truck
- L.6 Rating Factor for AASHTO Type 3-3 Truck
- L.7 Rating for AASHTO Type 3-3 Truck
- L.8 Rating Factor for State Routine Permit Truck
- L.9 Rating for State Routine Permit Truck
- L.10 Tunnel Open, Posted or Closed to Traffic
- L.11 Field Load Posting
- L.12 Traffic Restrictions

Load Rating Method				
	Format AN1         Item ID L.1			
	S	Specification	Commenta	ry
Record the method used to determine the Load Rating coded in Item ID L.2 – Rating Factor for AASHTO Type 3 Truck through Item ID L.9 – Rating for State Routine Permit Truck.		led in Item ID L.2 – Rating ITO Type 3 Truck through	This is intended to capture the determine the load capacity surface.	
Use one	of the fo	ollowing codes:		
<u>Code</u>	<u>Desc</u>	ription		
0	docu	d evaluation and umented engineering ment		
1	Load	d Factor (LF)		*
2		wable Stress (AS)		
3	Load (LRF	d and Resistance Factor		
4	•	d Testing		
5		ating analysis or evaluation		
	perfo	ormed		
6	by ra	d Factor (LF) rating reported ating factor (RF) method g MS18 loading		
7	Allov repo	wable Stress (AS) rating orted by rating factor (RF) mod using MS18 loading		
8	Load Ratin by ra	d and Resistance Factor ng (LRFR) rating reported ating factor (RF) method g HL-93 loadings		
A	Assi	gned rating based on Load or Design (LFD)		
В	Assi	gned ratings based on		
С	Assi Fact	wable Stress Design (ASD) gned ratings based on Load or Design (LFD) reported by g factor (RF) using MS18 ing		
D	Assi Fact	gned rating based on Load or Design (LFD) reported by g factor (RF) using MS18		

Е		
	Assigned ratings based on Allowable Stress Design (ASD) reported by rating factor (RF) using MS18 loadings	This is intended to capture the method used to determine the load capacity of the road surface.
F	Assigned ratings based on Load and Resistance Factor Design (LRFD) reported by rating factor (RF) using HL93 loadings Load rating is not required.	



Rating Factor for AASHTO Type 3 Truck			
Format FP(4,2)			<u>Item ID</u> L.2
5	Specification	Commenta	ry
Record the load rating factor truncated to the hundredth using the standard AASHTO Type 3 truck as defined within the Manual for Bridge Evaluation.  This is the factor used to determing posting recommendations for the AASHTO Type 3 Truck. The rating be recorded for this item, regardly results in a rating value less than		or the tunnel for the e rating factor is to gardless if it	
For roadway surface on grade, leave this item blank.  The actual maximum load capacity for the shored structure should be used to determin this item.			

Rating for AASHTO Type 3 Truck				
Format FP(4,1)			<u>Item ID</u> L.3	
5	Specification	Commenta	ry	
Record the operating load rating truncated to the tenth using the standard AASHTO Type 3 truck as defined within the Manual for Bridge Evaluation.				
For roadway sur item blank.	For roadway surface on grade, leave this item blank.			

Examples for Item ID L.2 – Rating Factor for AASHTO Type 3 Truck and Item L.3 – Rating for AASHTO Type 3 Truck			
Rating Factor for AASHTO Type 3 Truck	Rating Factor for AASHTO Type 3 Truck Code		
2.05	2.05		
Rating for AASHTO Type 3 Truck Code			
2.05*25 tons = 51.25	51.3		

Rating Factor for AASHTO Type 3S2 Truck			
Format FP(4,2)			<u>Item ID</u> L.4
5	Specification	Commenta	ry
Record the operating load rating factor truncated to the hundredth using the standard AASHTO Type 3S2 truck as defined within the Manual for Bridge Evaluation.  This is the factor used to determ posting recommendations for the AASHTO Type 3S2 Truck. The to be recorded for this item, regresults in a rating value less that		r the tunnel for the The rating factor is regardless if it	
For roadway surface on grade, leave this item blank.  The actual maximum load capacity for the shored structure should be used to determ this item.			

Rating for AASHTO Type 3S2 Truck						
Format FP(4,1)			<u>Item ID</u> L.5			
(	Specification	Commenta	ry			
to the tenth usin Type 3S2 truck for Bridge Evalu	rating load rating truncated g the standard AASHTO as defined within the Manual ation.  rface on grade, leave this					

Rating Factor for AASHTO Type 3-3 Truck					
Format FP(4,2)			<u>Item ID</u> L.6		
	Specification	Commenta			
Record the operating load rating factor truncated to the hundredth using the standard AASHTO Type 3-3 truck as defined within the Manual for Bridge Evaluation.		This is the factor used to det posting recommendations fo AASHTO Type 3-3 Truck. T to be recorded for this item, results in a rating value less	r the tunnel for the he rating factor is regardless if it		
For roadway surface on grade, leave this item blank.  The actual maximum load capacity for the shored structure should be used to determine this item.					

Rating for AASHTO Type 3-3 Truck						
Format FP(4,1)			<u>Item ID</u> L.7			
5	Specification Commentary					
to the tenth usin	rating load rating truncated g the standard AASHTO as defined within the Manual ation.					
For roadway sur item blank.	For roadway surface on grade, leave this item blank.					

Rating Factor for State Routine Permit Truck				
Format FP(4,2)		<u>Item ID</u> L.8		
Specification	Commenta	ry		
Record the load rating factor truncated to the hundredth using the state routine permit truck that controls when performing a load rating.	The rating factor is to be recorded for this item regardless if it results in a load rating less than 3 tons.  A routine permit truck is a vehicle that receives			
For roadway surface on grade, leave this item blank.	a valid permit for unlimited tr of time, not to exceed one ye vehicles are of a given config within specified gross weight limits. These vehicles are from the traffic stream and move without any movement restri	ear. The permit guration and are tand axle weight ee to mix within at normal speeds		

Rating for State Routine Permit Truck					
Format FP(4,1)		<u>Item ID</u> L.9			
Specification	Commenta	ry			
Record the load rating truncated to the tenth using the State routine permit truck that controls when performing a load rating.  For roadway surface on grade, leave this item blank.	A routine permit truck is a vera valid permit for unlimited truction of time, not to exceed one year vehicles are of a given configuration. These vehicles are from the traffic stream and move a without any movement restricts.	ips over a period ear. The permit guration and are t and axle weight ee to mix within at normal speeds			

		Tunnel Open, Poste	ed, or Closed to Traffic	
	Format AN1			Item ID L.10
7 11		Specification	Commenta	_
Record a tunnel		ion the operational status of	The field inspection could show that a tunne posted, but Item ID L.11 – Field Load Postin may indicate that posting is not required. The	
One of t	he follov	ving codes shall be used:	is possible and acceptable of ID L.11 – Field Load Posting	oding since Item is based on the
<u>Code</u>	<u>Desc</u>	<u>ription</u>	operating load level and the	
Α	Open,	, no restriction	agency's posting procedures	
В	not le	, posting recommended but gally implemented (all signs place or not correctly mented)	opolating rating.	
D	excep	would be posted or closed of temporary shoring, etc. by for unrestricted traffic		
E			pile g	
G	New t	tunnel not yet open to traffic		
K		el closed to all traffic		
P	other tempo	d for load (may include restrictions such as orary structures which are posted)		
R	restric	d for other load-capacity ction (speed, number of les in tunnel, etc.)		

Field Load Posting				
Format N10			Item ID L.11	
	Specification	Commenta	ry	
Record this item if Item L.12 - Tunnel Open, Posted or Closed to Traffic is coded "P". Record up to five loads that are on the load posting signs.		The intent of this item is to ce that should be listed on the I for the tunnel in US Customa	oad posting signs ary tons.	
Use the followin	g codes:	If only gross load is used, the other loads can be left blank.		
Code (Load)  XX  Total Tonnage  XX  Axle Tonnage  XX  Type 3 Truck  XX  Type 3S2 Truck Posting  XX  Type 3-3 Truck Posting  The format is right justified. Use "0" to fill in the left unused space.		If the loads on the signs are loads listed complete Item L Open, Posted or Closed to T the tunnel owner to change	.12 - Tunnel raffic and notify	
Examples				
Field Load Posting Code				
Based on the figure 2.7.1 0000081216				

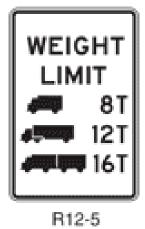


Figure 2.7.1 – MUTCD Weight Limit Sign R12-5

	Traffic R	estrictions	
Format N3			Item ID L.12
	Specification	Commenta	ry
traffic restriction provides additio actual operation	e digit number to define the s for the tunnel. This item nal information about the al status of a structure. fic restrictions will be coded		
A three digit coo	le shall be used as follows:		
First Digit- Heigl	nt Restricted		
Second Digit – I	Hazardous Material		
į į	Restricted		
Third Digit – Oth	ner Restricted		
Code Descripti	<u>ion</u>		
1 Yes			
0 No			
Examples			
Traffic Restriction	ons C	<u>Code</u>	
Height restricted, no other restrictions 100			

## 2.8—Navigation Items

# **Navigation Items**

The items in this section are related to navigable waterways over the tunnel.

- N.1
- Under Navigable Waterway Navigable Waterway Clearance N.2
- Tunnel or Portal Island Protection from Navigation N.3

Under Navigable Waterway					
Form N1	<u>at</u>			<u>Item ID</u> N.1	
	S	Specification	Commenta	ry	
Record the one digit number to describe if the waterway above the tunnel is navigable. Some tunnels are located under navigable waterways. If there is a navigable waterway above the tunnel, this item shall be coded 1. If there is not a navigable waterway above the tunnel, this item shall be coded 0.  Use one of the following codes:		ove the tunnel is navigable. The located under navigable ere is a navigable waterway of this item shall be coded 1. The navigable waterway above the shall be coded 0.			
<u>Code</u>	Descr	<u>iption</u>			
0	O A navigable waterway is not above the tunnel.				
1	A navi the tu	gable waterway is above nnel.			

Navigable Waterway Clearance					
Format FP(3,1)					Item ID N.2
5	Specification		Co	ommenta	ry
Record the minimum vertical clearance imposed at the site as measured above a datum that is specified on a navigation permit issued by a control agency (between top of tunnel or tunnel protection system and average water level). This measurement will show the clearance that is allowable for navigational purposes.  If the tunnel is not under a navigable waterway, code as 00.0.					
Examples					
Navigable Water	rway Clearance	<u>C</u>	<u>sode</u>		
50.00 feet		5	0.0		
60.63 feet		6	0.6		
No waterway ov	er tunnel	0	0.0		

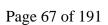
	Tu	nnel or Portal Islaı	nd F	Protec	tion f	rom N	laviga	ation
Forr N								Item ID N.3
IN		Specification				Com	menta	
presence protection against	e and acon and povessel co							
		ollowing codes:						
<u>Code</u> 0	_	i <del>ption</del> tion protection not requir under navigable waterw						
1	In place	e and functioning						
2	In place condi	e but in a deteriorated tion						
3	In place sugge	e but reevaluation of des ested	ign					
4	None p	resent but reevaluation ested						

## 2.9—Structure Type and Material Items

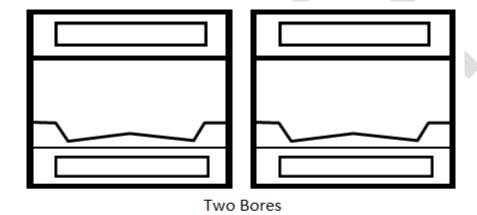
# **Structure Type and Material Items**

The items in this section are related to the tunnel shape and the adjacent materials surrounding the tunnel.

- S.1 Number of Bores
- S.2 Tunnel Shape
- S.3 Portal Shapes
- S.4 Ground Conditions
- S.5 Complex



Number of Bores					
Format N1			<u>Item ID</u> S.1		
	Specification	Commenta	ry		
number of bores	digit number defining the sin a tunnel. When oding for this item, use the associated with Item ID I.1 er.	Definition of a Tunnel Bore - passageway for vehicles tha mountain, waterway, or an u A ramp should not be counted unless it is being coded as a	t pass under a rban area.		



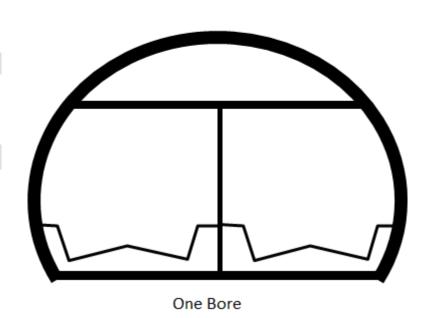


Figure 2.9.1 – Number of Bores

Tunnel Shape						
Format N1			Item ID S.2			
Specification		Commentary				
Record the type of tunnel shape.		See figure 2.9.2 below.				
Use one of the following codes:						
Code D	<u>Description</u>					
1 Ov	val					
2 Ho	orseshoe					
3 Bo	ox					
4 Ci	rcular					

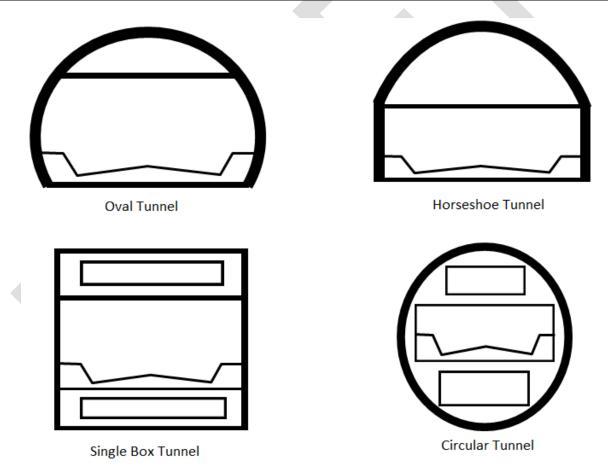


Figure 2.9.2 – Tunnel Shapes

Portal Shape					
<u>Format</u> N1			Item ID S.3		
Specification		Commentary			
Record the type of portal shape.		See example shapes shown for Item ID S.2 - Tunnel Shape, figure 2.9.2.			
Use one of the following codes:					
<u>Code</u> <u>I</u>	<u>Description</u>				
1 C	val				
2 H	orseshoe				
3 B	ox				
4 C	ircular				
5 C	ther				

Ground Conditions						
Format N1			<u>Item ID</u> S.4			
Specification		Commentary				
Specification  Record the type of ground conditions.  Use one of the following codes:  Code Description  1 Soil  2 Rock  3 Mixed Face		Definitions: Soil is used to define ground conditions consisting primarily of clay, silt, sand, gravel or a mixture.  Rock is used to define ground conditions consisting primarily of material that has rock structure in weathered to sound condition.  The term mixed face usually refers to a situation where the lower part of the working face is in rock while the upper part is in soil or the revers.				

Complex					
Format N1			<u>Item ID</u> S.5		
Specification		Commentary			
Record the one digit number to define if a tunnel is complex. If the tunnel is complex, this item shall be coded 1. If the tunnel is not complex, this item shall be coded 0.  Use one of the following codes:		A complex tunnel typically includes mechanical or fire suppression equipment to ventilate exhaust from the tunnel or provide protection against tunnel fires. A non-complex tunnel in contrast is typically of a shorter length, not requiring any ventilation, and may or may not			
Code <u>[</u>	<u>Description</u>	have lighting installed.			
0 T	he tunnel is not complex.				
1 T	the tunnel is complex.				

# **Section 3—Elements**

Section 3.1—Introduction

Section 3.2—Structural Section

Section 3.3—Civil Section

**Section 3.4—Mechanical Systems Section** 

Section 3.5—Electrical Systems Section

Section 3.6—Lighting Systems Section

Section 3.7—Fire/Life Safety/Security Systems Section

Section 3.8—Signs Section

Section 3.9—Protective Systems Section

## 3.1—Introduction

This section is comprised of tunnel elements arranged by general element type, material, and in accordance to their physical location in the tunnel to facilitate ease of use by tunnel inspectors in the field.

Element Name			
<u>Unit of Measure</u> XXXXX	Element Number XXXX		
<u>Specification</u>	<u>Commentary</u>		
Description of the element and how to measure the element	Additional information about the element to supplement the specification portion		
Record the element number, total element quantity and element quantity assigned to each condition state. If this element does not exist for a tunnel, then do not code this element. For element condition states, refer to the table below.			

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
General Condition	Good condition – no notable distress	Fair condition-isolated breakdowns or deterioration.	Poor condition – widespread deterioration or breakdowns without reducing load capacity.	

The format of an element is described in the two tables. The first table details what an element is in 5 parts: (1) Element Name, (2) Unit of Measure, (3) Element Number, (4) Specification and (5) Commentary. The second table details the condition state definitions which include the defects that apply to a particular element and the condition state language for each of those defects.

The Element Name is the name used to describe that particular element.

The Unit of Measure details the units to quantify that element. The Unit of Measure will be Length, Area or Each. Length should be reported in feet. Area should be reported in feet<sup>2</sup>.

The Element Number is the unique number assigned to represent that element. Element numbers were derived based on their section, subsection and element. All 1000 series elements are part of the structural or civil sections. All 2000 series elements are part of a functional system (ventilation, lighting, etc.). Additionally, the elements are further grouped by subsection. Tunnel liners of various materials are numbered 1000 through 1007, tunnel roof girders of various materials are numbered 1050 through 1053, etc.

The Specification and Commentary sections provide the detailed description of each element, how to calculate the quantity of the element and some explanation or additional clarification to consider for coding each element.

In addition to the elements defined herein, a State may define sub-elements that are consistent with these Specifications, which can provide additional information for their internal asset management needs. An example would be developing a sub-element for fan motors which can impact the effectiveness of the ventilation system. Alternatively, a State can develop state defined elements, which are not linked to an element defined within these Specifications so as to avoid confusion or inconsistency.

The Condition State Definition table lists defects and condition state language that is specific to that element. Only those defects which are appropriate for a specific element are listed. Each defect is then associated with four condition states and descriptive language based on the material type. This is done to recognize that the defect is dependent on the material and its severity. For instance cracking can occur in steel, concrete and timber, but the type of cracking will differ and the element condition state language reflects these differences. The severity of a defect can vary within an element, and is described and quantified using four different condition states.

- Condition State 1 is analogous to in good condition;
- Condition State 2 is analogous to in fair condition;
- Condition State 3 is analogous to in poor condition; and
- Condition State 4 is analogous to in severe condition.

The limits of Conditions States 1 through 3 are typically well defined for each defect. Condition State 4 is reserved for instances when the defect's conditions are beyond the limits of those defined in Conditions State 1 through 3 and a structural review is recommended or has been performed and reduced strength or serviceability exists.

For each element, the total quantity is divided among the 4 condition states based on the condition state languages. If a 10 ft long concrete girder were to be divided into 10 1-foot sections, each section would be assigned a condition state based on the defects present. If one 1-foot section had a crack .006 in. wide, that 1-foot section would be in condition state 2. If the remaining nine 1-foot sections had no problems, they would be in condition state 1. The result would be a total quantity of 10 ft, with 9 ft in condition state 1 and 1 ft in condition state 2.

## 3.2—Structural Section

## **Structural Section**

This section defines tunnel structural elements and the methodology for determining total element quantities and condition state quantities. The following elements are included.

Element #	Element Name	Unit of Measure
	Liners	
1000	Steel Tunnel Liner	AREA (Feet <sup>2</sup> )
1001	Cast-in-Place Concrete Tunnel Liner	AREA (Feet <sup>2</sup> )
1002	Precast Concrete Tunnel Liner	AREA (Feet <sup>2</sup> )
1003	Shotcrete Tunnel Liner	AREA (Feet <sup>2</sup> )
1004	Timber Tunnel Liner	AREA (Feet <sup>2</sup> )
1005	Masonry Tunnel Liner	AREA (Feet <sup>2</sup> )
1006	Unlined Rock Tunnel	AREA (Feet <sup>2</sup> )
1007	Other Tunnel Liner	AREA (Feet <sup>2</sup> )
	Tunnel Roof Girders	
1050	Steel Tunnel Roof Girders	LENGTH (Feet)
1051	Concrete Tunnel Roof Girders	LENGTH (Feet)
1052	Prestressed Concrete Tunnel Roof Girders	LENGTH (Feet)
1053	Other Tunnel Roof Girders	LENGTH (Feet)
	Columns/Piles	
1100	Steel Columns/Piles	EACH
1101	Concrete Columns/Piles	EACH
1102	Other Columns/Piles	EACH
	Cross Passageway	
1150	Steel Cross Passageway	LENGTH (Feet)
1151	Concrete Cross Passageway	LENGTH (Feet)
1152	Shotcrete Cross Passageway	LENGTH (Feet)
1153	Timber Cross Passageway	LENGTH (Feet)
1154	Masonry Cross Passageway	LENGTH (Feet)
1155	Unlined Rock Cross Passageway	LENGTH (Feet)
	Interior Walls	
1200	Concrete Interior Walls	AREA (Feet <sup>2</sup> )
1201	Other Interior Walls AREA (Feet <sup>2</sup> )	
	Portal	
1250	Concrete Portal	AREA (Feet <sup>2</sup> )
1251	Masonry Portal	AREA (Feet <sup>2</sup> )
1252	Other Portal	AREA (Feet <sup>2</sup> )

Element #	Element Name	Unit of Measure
	Ceiling Slab	
1300	Concrete Ceiling Slab	AREA (Feet <sup>2</sup> )
1301	Other Ceiling Slab	AREA (Feet <sup>2</sup> )
	Ceiling Girder	
1302	Steel Ceiling Girder	LENGTH (Feet)
1303	Concrete Ceiling Girder	LENGTH (Feet)
1304	Prestressed Concrete Ceiling Girder	LENGTH (Feet)
1305	Other Ceiling Girder	LENGTH (Feet)
	Hangers and Anchorages	
1400	Steel Hangers and Anchorages	EACH
1401	Other Hangers and Anchorages	EACH
	Ceiling Panels	
1410	Steel Ceiling Panels	AREA (Feet <sup>2</sup> )
1411	Concrete Ceiling Panels	AREA (Feet <sup>2</sup> )
1412	Other Ceiling Panels	AREA (Feet <sup>2</sup> )
	Invert Slab	
1500	Concrete Invert Slab	AREA (Feet <sup>2</sup> )
1501	Other Invert Slab AREA (Feet <sup>2</sup> )	
	Slab-on-Grade	
1510	Concrete Slab-on-Grade AREA (Feet <sup>2</sup> )	
1511	Other Slab-on-Grade AREA (Feet <sup>2</sup> )	
	Invert Girder	
1550	Steel Invert Girder	LENGTH (Feet)
1551	Concrete Invert Girder	LENGTH (Feet)
1552	Prestressed Concrete Invert Girder	LENGTH (Feet)
1553	Other Invert Girder	LENGTH (Feet)
	Joints	
1600	Strip Seal Expansion Joint	LENGTH (Feet)
1601	Pourable Joint Seal LENGTH (Feet)	
1602	Compression Joint Seal LENGTH (Feet)	
1603	Assembly Joint With Seal LENGTH (Feet)	
1604	Open Expansion Joint LENGTH (Feet)	
1605	Assembly Joint Without Seal LENGTH (Feet)	
	Gaskets	
1610	Gaskets	LENGTH (Feet)

Steel Tunnel Liner			
<u>Unit of Measure</u> Area (Feet²)	<u>Element Number</u> 1000		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all steel tunnel liners. Steel tunnel liners function as a shell for the exterior of the tunnel and as a divider between different bores of the tunnel.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.		
The area of a tunnel liner is the product of the length (along the centerline) of the tunnel and the perimeter of the liner.			

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Corrosion	None	Freckled rust. Corrosion of the steel has initiated.	Section loss is evident or pack rust is present but does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or
Cracking	None	Crack that has self arrested or has been arrested with effective arrest holes, doubling plates, or similar.	Identified crack exists that is not arrested but does not warrant structural review.	serviceability of the element or tunnel, OR a structural review has been completed and the defects impact
Connections	Connection is in place and functioning as intended.	Loose fasteners or pack rust without distortion is present but the connection is in place and functioning as intended.	Missing bolts, rivets, broken welds, fasteners or pack rust with distortion but does not warrant a structural review.	strength and serviceability of the element or tunnel.
Distortion	None	Distortion not requiring mitigation or mitigated distortion.	Distortion that require mitigation that has not been addressed but does not warrant structural review.	
Leakage	Dry surface	Wet surface to less than 10 drips per minute.	10 to 30 drips per minute.	Greater than 30 drips per minute.

Cast-in-Place Concrete Tunnel Liner			
<u>Unit of Measure</u> Area (Feet²)	<u>Element Number</u> 1001		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all cast-in-place concrete tunnel liners. Cast-in place concrete tunnel liners function as a shell for the exterior of the tunnel and as a divider between different bores of the tunnel.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.		
The area of a tunnel liner is the product of the length (along the centerline) of the tunnel and the perimeter of the liner.			

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Delamination/ Spall/ Patched area	None	Delaminated. Spall 1 in. or less deep or 6 in. or less in diameter. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Patched area that is unsound or showing distress. Does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a structural
Exposed Rebar	None	Present without measureable section loss.	Present with measureable section loss, but does not warrant structural review.	review has been completed and the defects impact strength and serviceability of the
Efflorescence/ Rust Staining	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	element or tunnel.
Cracking	Width less than 0.012 in. or spacing greater than 3.0 ft.	Width 0.012 - 0.05 in. or spacing of 1.0 – 3.0 ft.	Width greater than 0.05 in. or spacing of less than 1 ft.	
Distortion	None	Distortion not requiring mitigation or mitigated distortion.	Distortion that requires mitigation that has not been addressed but does not warrant structural review.	
Leakage	Dry surface	Wet surface to less than 10 drips per minute.	10 to 30 drips per minute.	Greater than 30 drips per minute.

Precast Concrete Tunnel Liner			
<u>Unit of Measure</u> Area (Feet²)	<u>Element Number</u> 1002		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all precast concrete tunnel liners. Precast concrete tunnel liners function as a shell for the exterior of the tunnel and as a divider between different bores of the tunnel.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.		
The area of a tunnel liner is the product of the length (along the centerline) of the tunnel and the perimeter of the liner.			

Condition S	Condition State Definitions			
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Delamination/ Spall/ Patched area	None	Delaminated. Spall 1 in. or less deep or 6 in. or less diameter. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Patched area that is unsound or showing distress. Does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a structural
Exposed Rebar	None	Present without measureable section loss.	Present with measureable section loss, but does not warrant structural review.	review has been completed and the defects impact strength and serviceability of the
Efflorescence/ Rust Staining	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	element or tunnel.
Cracking	Width less than 0.012 in. or spacing greater than 3.0 ft.	Width 0.012 - 0.05 in. or spacing of 1.0 – 3.0 ft.	Width greater than 0.05 in. or spacing of less than 1 ft.	
Distortion	None	Distortion not requiring mitigation or mitigated distortion.	Distortion that requires mitigation that has not been addressed but does not warrant structural review.	
Leakage	Dry surface	Wet surface to less than 10 drips per minute.	10 to 30 drips per minute.	Greater than 30 drips per minute.

Shotcrete Tunnel Liner			
<u>Unit of Measure</u> Area (Feet²)	Element Number 1003		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all shotcrete tunnel liners. Shotcrete tunnel liners function as a shell for the exterior of the tunnel and as a divider between different bores of the tunnel.  The area of a tunnel liner is the product of the	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.		
The area of a tunnel liner is the product of the length (along the centerline) of the tunnel and the perimeter of the liner.			

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Delamination/ Spall/ Patched area	None	Delaminated. Spall 1 in. or less deep or 6 in. or less in diameter. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Patched area that is unsound or showing distress. Does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a structural
Exposed Rebar	None	Present without measureable section loss.	Present with measureable section loss, but does not warrant structural review.	review has been completed and the defects impact strength and serviceability of the
Efflorescence/ Rust Staining	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	element or tunnel.
Cracking	Width less than 0.012 in. or spacing greater than 3.0 ft.	Width 0.012 - 0.05 in. or spacing of 1.0 – 3.0 ft.	Width greater than 0.05 in. or spacing of less than 1 ft.	
Distortion	None	Distortion not requiring mitigation or mitigated distortion.	Distortion that requires mitigation that has not been addressed but does not warrant structural review.	
Leakage	Dry surface	Wet surface to less than 10 drips per minute.	10 to 30 drips per minute.	Greater than 30 drips per minute.

Timber Tunnel Liner			
<u>Unit of Measure</u> Area (Feet²)	<u>Element Number</u> 1004		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all timber tunnel liners. Timber tunnel liners function as a shell for the exterior of the tunnel and as a divider between different bores of the tunnel.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.		
The area of a tunnel liner is the product of the length (along the centerline) of the tunnel and the perimeter of the liner.			

Condition S	Condition State Definitions			
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Connection	Connection is in place and functioning as intended.	Loose fasteners or pack rust without distortion is present but the connection is in place and functioning as intended.	Missing bolts, rivets, broken welds, fasteners or pack rust with distortion but does not warrant a structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel,
Decay/Section Loss	None	Affects less than 10% of the member section.	Affects 10% or more of the member but does not warrant structural review.	OR a structural review has been completed and the defects impact
Check/ Shake	Surface penetration less than 5% of the member thickness regardless of location.	Penetrates 5% - 50% of the thickness of the member and is not in a tension zone.	Penetrates more than 50% of the thickness of the member or more than 5% of the member thickness in a tension zone.  Does not warrant a structural analysis.	strength and serviceability of the element or tunnel.
Crack	None	Crack than has been arrested through effective measures.	Identified crack exists that is not arrested, but does not require structural review.	
Split/ Delamination	None	Length less than the member depth or arrested with effective actions taken to mitigate.	Length equal to or greater than the member depth, but does not warrant structural review.	

Condition State Definitions Cont.				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Distortion	None	Distortion not requiring mitigation or mitigated distortion.	Distortion that requires mitigation that has not been addressed but does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a structural review has been completed and the defects impact strength and serviceability of the element or tunnel.
Leakage	Dry surface	Wet surface to less than 10 drips per minute.	10 to 30 drips per minute.	Greater than 30 drips per minute.

Masonry Tunnel Liner			
<u>Unit of Measure</u> Area (Feet²)	<u>Element Number</u> 1005		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all masonry tunnel liners. Masonry tunnel liners function as a shell for the exterior of the tunnel and as a divider between different bores of the tunnel.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.		
The area of a tunnel liner is the product of the length (along the centerline) of the tunnel and the perimeter of the liner.			

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Efflorescence/ Rust Staining	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	The condition warrants a structural review to determine the effect
Mortar Breakdown	None	Cracking or voids in less than 10% of joints.	Cracking or voids in 10% or more of the joints.	on strength or serviceability of the element or tunnel,
Split/Spall	None	Block or stone has split or spalled with no shifting.	Block or stone has split or spalled with shifting but does not warrant a structural review.	OR a structural review has been completed and the defects impact strength and
Patched Area Masonry Displacement	None None	Sound patch.  Block or stone has shifted slightly out of alignment.	Unsound patch.  Block or stone has shifted significantly out of alignment or is missing but does not warrant structural review.	serviceability of the element or tunnel.
Distortion	None	Distortion not requiring mitigation or mitigated distortion.	Distortion that requires mitigation that has not been addressed but does not warrant structural review.	
Leakage	Dry surface	Wet surface to less than 10 drips per minute.	10 to 30 drips per minute.	Greater than 30 drips per minute.

Unlined Rock Tunnel			
<u>Unit of Measure</u> Area (Feet²)	Element Number 1006		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all unlined rock tunnels. Unlined rock tunnels function as the exterior of the tunnel and as a divider between different bores of the tunnel.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.		
The area of an unlined rock tunnel is the product of the length of the tunnel (along the centerline) and the perimeter of the unlined rock.			

Condition S	Condition State Definitions			
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Loose or Cracked Rock	Cracks are present but have not allowed the rock to shift.	Cracks are present and areas of loose rock are less than 1 ft <sup>2</sup> .	Cracked with areas of loose rock greater than 1 ft <sup>2</sup> .	The condition warrants a structural review to determine the effect
Roof Bolt Distress	Roof bolt is in place and functioning as intended.	Loose nuts are present but the bolts are in place and functioning as intended.	Missing nuts or broken bolts but does not warrant a structural review.	on strength or serviceability of the element or tunnel, OR a structural review has been
Patched Areas	None	Sound patches.	Unsound patches.	completed and the defects impact strength and serviceability of the element or tunnel.
Leakage	Dry surface	Wet surface to less than 10 drips per minute.	10 to 30 drips per minute.	Greater than 30 drips per minute.

Other Tunnel Liner			
<u>Unit of Measure</u> Area (Feet²)	<u>Element Number</u> 1007		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all tunnel liners composed of other materials. Other tunnel liners function as a shell for the exterior of the tunnel and as a divider between different bores of the tunnel.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.		
The area of a tunnel liner is the product of the length (along the centerline) of the tunnel and the perimeter of the liner.			

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Cracking	Cracks are present but have not allowed the rock to shift.	Cracks are present and rock has minor shifting.	Rocks are cracked with face deformation. Rocks are missing.	The condition warrants a structural review to determine the effect
Distortion	None	Distortion not requiring mitigation or mitigated distortion.	Distortion requires mitigation that has not been addressed but does not warrant structural review.	on strength or serviceability of the element or tunnel, OR a structural review has been
Patched Areas	None	Sound patches.	Unsound patches.	completed and the defects impact strength and serviceability of the element or tunnel.
Leakage	Dry surface	Wet surface to less than 10 drips per minute.	10 to 30 drips per minute.	Greater than 30 drips per minute.

Steel Tunnel Roof Girder		
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1050	
<u>Specification</u>	<u>Commentary</u>	
Record this element for all steel tunnel roof girders. Tunnel roof girders support the tunnel roof liner or exposed rock which constitutes the tunnel roof.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.	
The total length of tunnel roof girder is the sum of all the lengths of each tunnel roof girder.		

Condition S	Condition State Definitions			
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Corrosion	None	Freckled rust. Corrosion of steel has initiated.	Section loss is evident or pack rust is present but does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or
Cracking	None	Crack that has self arrested or has been arrested with effective arrest holes, doubling plates, or similar.	Identified crack exists that is not arrested but does not warrant structural review.	serviceability of the element or tunnel, OR a structural review has been completed and the defects impact
Connections	Connection is in place and functioning as intended.	Loose fasteners or pack rust without distortion is present but the connection is in place and functioning as intended.	Missing bolts, rivets, broken welds, fasteners or pack rust with distortion but does not warrant a structural review.	strength and serviceability of the element or tunnel.
Distortion	None	Distortion not requiring mitigation or mitigated distortion.	Distortion that requires mitigation that has not been addressed, but does not warrant structural review.	

Concrete Tunnel Roof Girder		
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1051	
<u>Specification</u>	<u>Commentary</u>	
Record this element for all concrete tunnel roof girders. Tunnel roof girders support the tunnel roof liner or exposed rock which constitutes the tunnel roof.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.	
The total length of tunnel roof girder is the sum of all the lengths of each tunnel roof girder.		

Condition S	Condition State Definitions			
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Delamination/ Spall/ Patched area	None	Delaminated. Spall 1 in. or less deep or 6 in. or less diameter. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Patched area that is unsound or showing distress. Does not warrant a structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a structural
Exposed Rebar	None	Present without measureable section loss.	Present with measureable section loss, but does not warrant structural review.	review has been completed and the defects impact strength and serviceability of the
Efflorescence/ Rust Staining	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	element or tunnel.
Cracking	Width less than 0.012 in. or spacing greater than 3.0 ft.	Width 0.012 - 0.05 in. or spacing of 1 – 3.0 ft.	Width greater than 0.05 in. or spacing of less than 1 ft.	

Prestressed Concrete Tunnel Roof Girder			
<u>Unit of Measure</u> Length (Feet)	Element Number 1052		
<u>Specification</u>	Commentary		
Record this element for all prestressed concrete tunnel roof girders. Tunnel roof girders support the tunnel roof liner or exposed rock which constitutes the tunnel roof.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.		
The total length of tunnel roof girder is the sum of all the lengths of each tunnel roof girder.			

Condition S	Condition State Definitions			
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Delamination/ Spall/ Patched area	None	Delaminated. Spall 1 in. or less deep or 6 in. or less diameter. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Patched area that is unsound or showing distress. Does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a structural
Exposed Rebar	None	Present without measureable section loss.	Present with measureable section loss, but does not warrant structural review.	review has been completed and the defects impact strength and serviceability of the
Exposed Prestressing	None	Present without section loss.	Present with section loss, but does not warrant structural review.	element or tunnel.
Cracking	Width less than 0.004 in. or spacing greater than 3 ft.	Width 0.004 - 0.009 in. or spacing of 1.0 - 3.0 ft.	Width greater than 0.009 in. or spacing less than 1 ft.	
Efflorescence/ Rust Staining	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	

Other Tunnel Roof Girder		
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1053	
<u>Specification</u>	<u>Commentary</u>	
Record this element for all tunnel roof girders composed of other materials. Tunnel roof girders support the tunnel roof liner or exposed rock which constitutes the tunnel roof.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.	
The total length of tunnel roof girder is the sum of all the lengths of each tunnel roof girder.		

Condition S	State Definitions			
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
General Condition	Good condition – no notable distress	Fair condition-isolated breakdowns or deterioration.	Poor condition – widespread deterioration or breakdowns without reducing load capacity.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a structural review has been completed and the defects impact strength and serviceability of the element or tunnel.

Steel Column/Pile		
<u>Unit of Measure</u> Each	<u>Element Number</u> 1100	
<u>Specification</u>	<u>Commentary</u>	
Record this element for all steel columns/piles. Tunnel columns support the tunnel roof girders, tunnel ceiling girders tunnel invert girders. Tunnel piles provide support for the	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.	
tunnel columns.  The total number of columns/piles is the sum of all the number of columns and piles.	The majority of the columns/piles will be below grade and therefore not visible for inspection.	

Condition S	Condition State Definitions			
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Corrosion	None	Freckled rust. Corrosion of steel has initiated.	Section loss is evident or pack rust is present but does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or
Cracking	None	Cracks that has self arrested or has been arrested with effective arrest holes, doubling plates, or similar.	Identified crack exists that is not arrested but does not require structural review.	serviceability of the element or tunnel, OR a structural review has been completed and the defects impact
Connection	Connection is in place and functioning as intended.	Loose fasteners or pack rust without distortion is present but the connection is in place and functioning as intended.	Missing bolts, rivets, broken welds, fasteners or pack rust with distortion but does not warrant structural review.	strength and serviceability of the element or tunnel.
Distortion	None	Distortion not requiring mitigation or mitigated distortion.	Distortion that requires mitigation that has not been addressed, but does not warrant structural review.	

Concrete Column/Pile			
<u>Unit of Measure</u> Each	Element Number 1101		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all concrete columns/piles. Tunnel columns support the tunnel roof girders, tunnel ceiling girders tunnel invert girders. Tunnel piles provide support for the tunnel columns.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.		
The total number of columns/piles is the sum of all the number of columns and piles.	The majority of the columns/piles will be below grade and therefore not visible for inspection.		

Condition S	tate Definitions			
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Delamination/ Spall/ Patched area	None	Delaminated. Spall 1 in. or less deep or 6 in. or less diameter. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Patched area that is unsound or showing distress. Does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a structural
Exposed Rebar	None	Present without measureable section loss.	Present with measureable section loss, but does not warrant structural review.	review has been completed and the defects impact strength and serviceability of the
Efflorescence/ Rust Staining	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	element or tunnel.
Cracking	Width less than 0.012 in. or spacing greater than 3.0 ft.	Width 0.012 - 0.05 in. or spacing of 1 – 3.0 ft.	Width greater than 0.05 in. or spacing of less than 1 ft.	

Other Column/Pile			
<u>Unit of Measure</u> Each	Element Number 1102		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all columns/piles composed of other material. Tunnel columns support the tunnel roof girders, tunnel ceiling girders tunnel invert girders. Tunnel piles	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.		
provide support for the tunnel columns.	The majority of the columns/piles will be below grade and therefore not visible for inspection.		
The total number of columns/piles is the sum of all the number of columns and piles.			

Condition S	State Definitions			
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
General Condition	Good condition – no notable distress	Fair condition- isolated breakdowns or deterioration.	Poor condition – widespread deterioration or breakdowns without reducing load capacity.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a structural review has been completed and the defects impact strength and serviceability of the element or tunnel.

Steel Cross Passageway			
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1150		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all steel cross passageways. Cross passageways are typically oriented transverse to the tunnel bores, and are comprised of doors to allow egress between separated tunnel bores.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.		
The total length of cross passageways is the sum of all of the lengths of each cross passageway.			

Condition State Definitions					
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
Corrosion	None	Freckled rust. Corrosion of the steel has initiated.	Section loss is evident or pack rust is present but does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or	
Cracking	None	Crack that has self arrested or has been arrested with effective arrest holes, doubling plates, or similar.	Identified crack exists that is not arrested but does not require structural review.	serviceability of the element or tunnel, OR a structural review has been completed and the defects impact	
Connections	Connection is in place and functioning as intended.	Loose fasteners or pack rust without distortion is present but the connection is in place and functioning as intended.	Missing bolts, rivets, broken welds, fasteners or pack rust with distortion but does not warrant a structural review.	strength and serviceability of the element or tunnel.	
Distortion	None	Distortion not requiring mitigation or mitigated distortion.	Distortion that requires mitigation that has not been addressed but does not warrant structural review.		
Leakage	Dry surface	Wet surface to less than 10 drips per minute.	10 to 30 drips per minute.	Greater than 30 drips per minute.	

Concrete Cross Passageway				
<u>Unit of Measure</u> Length (Feet)	Element Number 1151			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all concrete cross passageways. Cross passageways are typically oriented transverse to the tunnel bores, and are comprised of doors to allow egress between separated tunnel bores.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.			
The total length of cross passageways is the sum of all of the lengths of each cross passageway.				

Condition State Definitions					
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
Delamination/ Spall/ Patched area	None	Delaminated. Spall 1 in. or less deep or 6 in. or less diameter. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Patched area that is unsound or showing distress. Does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a structural	
Exposed Rebar	None	Present without measureable section loss.	Present with measureable section loss, but does not warrant structural review.	review has been completed and the defects impact strength and serviceability of the	
Efflorescence/ Rust Staining	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	element or tunnel.	
Cracking Sizes	Width less than 0.012 in. or spacing greater than 3.0 ft.	Width 0.012 - 0.05 in. or spacing of 1.0 – 3.0 ft.	Width greater than 0.05 in. or spacing of less than 1 ft.		
Distortion	None	Distortion not requiring mitigation or mitigated distortion.	Distortion that requires mitigation that has not been addressed but does not warrant structural review.		
Leakage	Dry surface	Wet surface to less than 10 drips per minute.	10 to 30 drips per minute.	Greater than 30 drips per minute.	

Shotcrete Cross Passageway				
Unit of Measure Length (Feet)	Element Number 1152			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all shotcrete cross passageways. Cross passageways are typically oriented transverse to the tunnel bores, and are comprised of doors to allow egress between separated tunnel bores.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.			
The total length of cross passageways is the sum of all of the lengths of each cross passageway.				

Condition State Definitions					
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
Delamination/ Spall/ Patched area	None	Delaminated. Spall 1 in. or less deep or 6 in. or less diameter. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Patched area that is unsound or showing distress. Does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a structural	
Exposed Rebar	None	Present without measureable section loss.	Present with measureable section loss, but does not warrant structural review.	review has been completed and the defects impact strength and serviceability of the	
Efflorescence/ Rust Staining	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	element or tunnel.	
Cracking Sizes	Width less than 0.012 in. or spacing greater than 3.0 ft.	Width 0.012 - 0.05 in. or spacing of 1.0 – 3.0 ft.	Width greater than 0.05 in. or spacing of less than 1 ft.		
Distortion	None	Distortion not requiring mitigation or mitigated distortion.	Distortion that requires mitigation that has not been addressed but does not warrant structural review.		
Leakage	Dry surface	Wet surface to less than 10 drips per minute.	10 to 30 drips per minute.	Greater than 30 drips per minute.	

Timber Cross Passageway				
<u>Unit of Measure</u> Length (Feet)	Element Number 1153			
<u>Specification</u>	Commentary			
Record this element for all timber cross passageways. Cross passageways are typically oriented transverse to the tunnel bores, and are comprised of doors to allow egress between separated tunnel bores.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.			
The total length of cross passageways is the sum of all of the lengths of each cross passageway.				

Condition St	Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
Connection	Connection is in place and functioning as intended.	Loose fastener or pack rust without distortion is present but the connection is in place and functioning as intended.	Missing bolts, rivets, broken welds, fasteners or pack rust with distortion but does not warrant a structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a	
Decay/Section Loss	None	Affects less than 10% of the member section.	Affects 10% or more of the member but does not warrant structural review.	structural review has been completed and the defects impact	
Check/ Shake	Surface penetration less than 5% of the member thickness regardless of location.	Penetrates 5% - 50% of the thickness of the member and is not in a tension zone.	Penetrates more than 50% of the thickness of the member or more than 5% of the member thickness in a tension zone. Does not warrant structural analysis.	strength and serviceability of the element or tunnel.	
Cracks	None	Cracks than have been arrested through effective measures.	Identified crack exists that is not arrested but does not require structural review.		
Split/ Delamination	None	Length less than member depth or arrested with effective actions taken to mitigate.	Length equal to or greater than the member depth, but does not require structural review.		

Condition State Definitions Cont.				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Distortion	None	Distortion not requiring mitigation or mitigated distortion.	Distortion that require mitigation that has not been addressed but does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a structural review has been completed and the defects impact strength and serviceability of the element or tunnel
Leakage	Dry surface	Wet surface to less than 10 drips per minute.	10 to 30 drips per minute.	Greater than 30 drips per minute.

Masonry Cross Passageway				
<u>Unit of Measure</u> Length (Feet)	Element Number 1154			
<u>Specification</u>	Commentary			
Record this element for all masonry cross passageways. Cross passageways are typically oriented transverse to the tunnel bores, and are comprised of doors to allow egress between separated tunnel bores.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.			
The total length of cross passageways is the sum of all of the lengths of each cross passageway.				

Condition State Definitions					
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
Efflorescence/ Rust Staining	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	The condition warrants a structural review to determine the	
Mortar Breakdown	None	Cracking or voids in less than 10% of joints.	Cracking or voids in 10% or more of the joints.	affect on strength or serviceability of the element or	
Split/Spall	None	Block or stone has split or spalled with no shifting.	Block or stone has split or spalled with shifting but does not warrant a structural review.	tunnel, OR a structural review has been completed and the defects impact	
Patched Areas Masonry Displacement	None None	Sound patch.  Block or stone has shifted slightly out of alignment.	Unsound patch.  Block or stone has shifted significantly out of alignment or is missing but does not warrant structural review.	strength and serviceability of the element or tunnel.	
Distortion	None	Distortion not requiring mitigation or mitigated distortion.	Distortion that requires mitigation that has not been addressed but does not warrant structural review.		
Leakage	Dry surface	Wet surface to less than 10 drips per minute.	10 to 30 drips per minute.	Greater than 30 drips per minute.	

Unlined Rock Cross Passageway				
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1155			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all unlined rock cross passageways. Cross passageways are typically oriented transverse to the tunnel bores, and are comprised of doors to allow egress between separated tunnel bores.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.			
The total length of cross passageways is the sum of all of the lengths of each cross passageway.				

Condition State Definitions					
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
Loose or Cracked Rock	Cracks are present but have not allowed the rock to shift.	Cracks are present and areas of loose rock are less than 1 ft <sup>2</sup> .	Cracked with areas of loose rock greater than 1 ft <sup>2</sup> .	The condition warrants a structural review to determine the effect	
Roof Bolt Distress	Roof bolt is in place and functioning as intended.	Loose nuts are present but the bolts are in place and functioning as intended.	Missing nuts or broken bolts but does not warrant a structural review.	on strength or serviceability of the element or tunnel, OR a structural review has been	
Patched Areas	None	Sound patches.	Unsound patches.	completed and the defects impact strength and serviceability of the element or tunnel.	
Leakage	Dry surface	Wet surface to less than 10 drips per minute.	10 to 30 drips per minute.	Greater than 30 drips per minute.	

Concrete Interior Walls					
<u>Unit of Measure</u> Area (Feet²)	Element Number 1200				
<u>Specification</u>	<u>Commentary</u>				
Record this element for all concrete interior walls. This element defines those internal walls in tunnels which are usually placed to separate traffic travelling in opposite directions. The internal wall also serves as a barrier between tunnel segments in an emergency to protect evacuees from smoke inhalation, fire or hazardous conditions.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.				
The area of the interior wall is the product of the length (along the centerline) of the tunnel and the height.					

Condition St	ate Definitions			
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Delamination/ Spall/ Patched area	None	Delaminated. Spall 1 in. or less deep or 6 in. or less diameter. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Patched area that is unsound or showing distress. Does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a
Exposed Rebar	None	Present without section loss.	Present with measureable section loss, but does not warrant structural review.	structural review has been completed and the defects impact strength and
Efflorescence/ Rust Staining	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	serviceability of the element or tunnel.
Cracking	Width less than 0.012 in. or spacing greater than 3.0 ft.	Width 0.012 - 0.05 in. or spacing of 1.0 – 3.0 ft.	Width greater than 0.05 in. or spacing of less than 1 ft.	

Other Interior Walls				
<u>Unit of Measure</u> Area (Feet²)	<u>Element Number</u> 1201			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all interior walls composed of other materials. This element defines those internal walls in tunnels which are usually placed to separate traffic travelling in opposite directions. The internal wall also serves as a barrier between tunnel segments in an emergency to protect evacuees from smoke inhalation, fire or hazardous conditions.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.			
The area of the interior wall is the product of the length (along the centerline) of the tunnel and the height.				

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
General Condition	Good condition – no notable distress	Fair condition- isolated breakdowns or deterioration.	Poor condition – widespread deterioration or breakdowns without reducing load capacity.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a structural review has been completed and the defects impact strength and serviceability of the element or tunnel.

Concrete Portal				
<u>Unit of Measure</u> Area (Feet²)	<u>Element Number</u> 1250			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all concrete portals. This element defines the portal façade, which comprise the architectural/structural elements that are above the roadway at the opening of the tunnel bore.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.			
The area of the portal is the product of the width and height of the portal minus the area of the roadway opening. The area may include wingwalls which retain soil and rock near the portal but does not include walls leading up to the portal.				

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Delamination/ Spall/ Patched area	None	Delaminated. Spall 1 in. or less deep or 6 in. or less diameter. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Patched area that is unsound or showing distress. Does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a
Exposed Rebar	None	Present without measureable section loss.	Present with measureable section loss, but does not warrant structural review.	structural review has been completed and the defects impact strength and
Efflorescence/ Rust Staining	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	serviceability of the element or tunnel.
Cracking	Width less than 0.012 in. or spacing greater than 3 ft.	Width 0.012 - 0.05 in. or spacing of 1.0 – 3.0 ft.	Width greater than 0.05 in. or spacing of less than 1 ft.	
Settlement	None	Exists within tolerable limits or arrested with no observed structural distress.	Exceeds tolerable limits but does not warrant structural review.	

Masonry Portal				
<u>Unit of Measure</u> Area (Feet²)	<u>Element Number</u> 1251			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all masonry portals. This element defines the portal façade, which comprise the architectural/structural elements that are above the roadway at the opening of the tunnel bore.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.			
The area of the portal is the product of the width and height of the portal minus the area of the roadway opening. The area may include wingwalls which retain soil and rock near the portal but does not include walls leading up to the portal.				

Condition St	Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
Efflorescence/ Rust Staining	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	The condition warrants a structural review to determine the	
Mortar Breakdown	None	Cracking or voids in less than 10% of joints.	Cracking or voids in 10% or more of the joints.	effect on strength or serviceability of the element or	
Split/Spall	None	Block or stone has split or spalled with no shifting.	Block or stone has split or spalled with shifting but does not warrant a structural review.	tunnel, OR a structural review has been completed and the defects impact	
Patched Area	None	Sound patch.	Unsound patch.	strength and	
Masonry Displacement	None	Block or stone has shifted slightly out of alignment.	Block or stone has shifted significantly out of alignment or is missing but does not warrant structural review.	serviceability of the element or tunnel.	
Settlement	None	Exists within tolerable limits or arrested with no observed structural distress.	Exceeds tolerable limits but does not warrant structural review.		

Other Portal				
<u>Unit of Measure</u> Area (Feet²)	<u>Element Number</u> 1252			
Specification	<u>Commentary</u>			
Record this element for all portals composed of other materials. This element defines the portal façade, which comprise the architectural/structural elements that are above the roadway at the opening of the tunnel bore.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.			
The area of the portal is the product of the width and height of the portal minus the area of the roadway opening. The area may include wingwalls which retain soil and rock near the portal but does not include walls leading up to the portal.				

Condition State Definitions					
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
General Condition	Good condition – no notable distress	Fair condition- isolated breakdowns or deterioration.	Poor condition – widespread deterioration or breakdowns without reducing load capacity.	The condition warrants a structural review to determine the effect on strength or serviceability of	
Settlement	None	Exists within tolerable limits or arrested with no observed structural distress.	Exceeds tolerable limits but does not warrant structural review.	the element or tunnel, OR a structural review has been completed and the defects impact strength and serviceability of the element or tunnel.	

Concrete Ceiling Slab				
<u>Unit of Measure</u> Area (Feet²)	<u>Element Number</u> 1300			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all concrete ceiling slabs. This element defines those structural slabs which separate the space above the roadway from the upper plenum.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.			
The area of the ceiling slab is the product of the width length of the slab.	The roof of a tunnel would be considered part of the tunnel liner.			

Condition St	ate Definitions			
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Delamination/ Spall/ Patched area	None	Delaminated. Spall 1 in. or less deep or 6 in. or less diameter. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Patched area that is unsound or showing distress. Does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a
Exposed Rebar	None	Present without measureable section loss.	Present with measureable section loss, but does not warrant structural review.	structural review has been completed and the defects impact strength and
Efflorescence	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	serviceability of the element or tunnel.
Cracking	Width less than 0.012 in. or spacing greater than 3.0 ft.	Width 0.012 - 0.05 in. or spacing of 1.0 – 3.0 ft.	Width greater than 0.05 in. or spacing less than 1 ft.	

Other Ceiling Slab			
<u>Unit of Measure</u> Area (Feet²)	Element Number 1301		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all ceiling slabs composed of other materials. This element defines those structural slabs which separate the space above the roadway from the upper plenum.  The area of the ceiling slab is the product of the width length of the slab.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.  The roof would of a tunnel be considered part of the tunnel liner.		

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
General Condition	Good condition – no notable distress	Fair condition- isolated breakdowns or deterioration.	Poor condition – widespread deterioration or breakdowns without reducing load capacity.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a structural review has been completed and the defects impact strength and serviceability of the element or tunnel.

Steel Ceiling Girder			
<u>Unit of Measure</u> Length (Feet)	Element Number 1302		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all steel ceiling girders. This element defines the girders that support the structural ceiling slabs which separate the space above the roadway from the upper plenum.  The total quantity for ceiling girder is the sum	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.		
of all the lengths of each tunnel ceiling girder.			

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Corrosion	None	Freckled rust. Corrosion of steel has initiated.	Section loss is evident or pack rust is present but does not warrant structural review.	The condition warrants a structural review to determine the effect on strength
Cracking	None	Crack that has self arrested or has been arrested with effective arrest holes, doubling plates, or similar.	Identified crack exists that is not arrested but does not warrant structural review.	or serviceability of the element or tunnel, OR a structural review has been completed and the
Connection	Connection is in place and functioning as intended.	Loose fasteners or pack rust without distortion is present but the connection is in place and functioning as intended.	Missing bolts, rivets, broken welds, fasteners or pack rust with distortion but does not warrant a structural review.	defects impact strength and serviceability of the element or tunnel.
Distortion	None	Distortion not requiring mitigation or mitigating distortion.	Distortion that requires mitigation that has not been addressed but does not require structural review.	

Concrete Ceiling Girder			
<u>Unit of Measure</u> Length (Feet)	Element Number 1303		
<u>Specification</u>	Commentary		
Record this element for all concrete ceiling girders. This element defines the girders that support the structural ceiling slabs which separate the space above the roadway from the upper plenum.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.		
The total quantity for ceiling girder is the sum of all the lengths of each tunnel ceiling girder.			

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Delamination/ Spall/ Patched area	None	Delaminated. Spall 1 in. or less deep or 6 in. or less diameter. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Patched area that is unsound or showing distress. Does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a
Exposed Rebar	None	Present without measureable section loss.	Present with measureable section loss, but does not warrant structural review.	structural review has been completed and the defects impact strength and
Efflorescence/ Rust Staining	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	serviceability of the element or tunnel.
Cracking	Width less than 0.012 in. or spacing greater than 3.0 ft.	Width 0.012 - 0.05 in. or spacing of 1.0 – 3.0 ft.	Width greater than 0.05 in. or spacing less than 1 ft.	

Prestressed Concrete Ceiling Girder		
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1304	
<u>Specification</u>	<u>Commentary</u>	
Record this element for all prestressed concrete ceiling girders. This element defines the girders that support the structural ceiling slabs which separate the space above the roadway from the upper plenum.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.	
The total quantity for ceiling girder is the sum of all the lengths of each tunnel ceiling girder.		

Condition Stat	Condition State Definitions			
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Delamination/ Spall/ Patched area	None	Delaminated. Spall 1 in. or less deep or 6 in. or less diameter. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Patched area that is unsound or showing distress. Does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a
Exposed Rebar	None	Present without measureable section loss.	Present with measureable section loss, but does not warrant structural review.	structural review has been completed and the defects impact strength and
Exposed Prestressing	None	Present without section loss.	Present with section loss, but does not warrant structural review.	serviceability of the element or tunnel.
Cracking	Width less than 0.004 in. or spacing greater than 3 ft.	Width 0.004 - 0.009 in. or spacing of 1.0 – 3.0 ft.	Width greater than 0.009 in. or spacing less than 1 ft.	
Efflorescence/ Rust Staining	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	

Other Ceiling Girder		
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1305	
<u>Specification</u>	<u>Commentary</u>	
Record this element for all ceiling girders composed of other materials. This element defines the girders that support the structural ceiling slabs which separate the space above the roadway from the upper plenum.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.	
The total quantity for ceiling girder is the sum of all the lengths of each tunnel ceiling girder.		

Condition Sta	Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
General Condition	Good condition – no notable distress	Fair condition-isolated breakdowns or deterioration.	Poor condition – widespread deterioration or breakdowns without reducing load capacity.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a structural review has been completed and the defects impact strength and serviceability of the element or tunnel.	

Steel Hangers and Anchorages		
<u>Unit of Measure</u> Each	<u>Element Number</u> 1400	
<u>Specification</u>	<u>Commentary</u>	
Record this element for all steel hangers and anchorages. Hangers are tension members that support ceiling girders or ceiling panels. The anchorages of the hangers are typically	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.	
attached to the tunnel roof and ceiling panels.	Distress observed on either hanger or anchorage should be considered in the	
The total quantity for hangers and anchorages is the sum of all the number of hanger and anchorage units.	condition assessment. Ultrasonic testing results should be taken into consideration in the condition assessment if available.	

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Corrosion	None	Freckled rust. Corrosion of steel has initiated.	Section loss is evident or pack rust is present but does not warrant structural review.	The condition warrants a structural review to determine the effect on strength
Cracking	None	Crack that has self arrested or has been arrested with effective arrest holes, doubling plates, or similar.	Identified crack exists that is not arrested but does not warrant a structural review.	or serviceability of the element or tunnel, OR a structural review has been completed and the
Connections	Connection is in place and functioning as intended.	Loose fasteners or pack rust without distortion is present but the connection is in place and functioning as intended.	Missing bolts, rivets, broken welds, fasteners or pack rust with distortion but does not warrant a structural review.	defects impact strength and serviceability of the element or tunnel.
Distortion (Shortening and Lengthening)	None	Isolated hangers are slightly bowed or elongated.	Anchors attaching isolated hangers to the overhead structure have a gap <1/8" or are visibly elongated.	

Condition State Definitions Cont.				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Creep	No displacement of anchor material.	Minor displacement.	Displacement of anchor material, but does not warrant a structural review.	The condition warrants a structural review to determine the
Anchorage area	Sound anchorage.	Cracking around anchorage areas, but concrete is sound.	Cracking or spalling around anchorage area and concrete is not sound.	effect on strength or serviceability of the element or tunnel, OR a structural review has been completed and the defects impact strength and serviceability of the element or tunnel.

Other Hangers and Anchorages		
Unit of Measure Each	Element Number 1401	
<u>Specification</u>	<u>Commentary</u>	
Record this element for all hangers and anchorages composed of other materials. Hangers are tension members that support ceiling girders or ceiling panels. The	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.	
anchorages of the hangers are typically attached to the tunnel roof and ceiling panels.	Distress observed on either hanger or anchorage should be considered in the condition assessment. Ultrasonic testing	
The total quantity for hangers and anchorages is the sum of all the number of hanger and anchorage units.	results should be taken into consideration in the condition assessment if available.	

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
General Condition	Good condition – no notable distress	Fair condition- isolated breakdowns or deterioration.	Poor condition – widespread deterioration or breakdowns without reducing load capacity.	The condition warrants a structural review to determine the effect on strength or serviceability of
Connections	Sound	Isolated fasteners are loose at their connections.	Adjacent hangers are loose. Fasteners are missing from adjacent hanger connections at isolated locations.	the element or tunnel, OR a structural review has been completed and the defects impact strength and
Distortion (Shortening and Lengthening)	None	Isolated hangers are slightly bowed or elongated.	Anchors attaching isolated hangers to the overhead structure have a gap <1/8" or are visibly elongated.	serviceability of the element or tunnel.
Creep	No displacement of anchor material.	Minor displacement.	Displacement of anchor material, but does not warrant a structural review.	
Anchorage area	Sound anchorage.	Cracking around anchorage areas, but concrete is sound.	Cracking or spalling around anchorage area and concrete is not sound.	

Steel Ceiling Panels		
<u>Unit of Measure</u> Area (Feet²)	Element Number 1410	
<u>Specification</u>	<u>Commentary</u>	
Record this element for all steel ceiling panels. Ceiling panels separate the upper plenum from space above the tunnel roadway. Ceiling panels are typically supported by hangers.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.	
The area of the ceiling panel is the product of the width and length of the panel.		

Condition Sta	Condition State Definitions			
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Corrosion	None	Freckled rust. Corrosion of steel has initiated.	Section loss is evident or pack rust is present but does not warrant structural review.	The condition warrants a structural review to determine the effect on strength
Cracking	None	Crack that has self arrested or has been arrested with effective arrest holes, doubling plates, or similar.	Identified crack exists that is not arrested but does not warrant a structural review.	or serviceability of the element or tunnel, OR a structural review has been completed and the
Connection	Connection is in place and functioning as intended.	Loose fasteners or pack rust without distortion is present but the connection is in place and functioning as intended.	Missing bolts, rivets, broken welds, fasteners or pack rust with distortion but does not warrant a structural review.	defects impact strength and serviceability of the element or tunnel.
Distortion	None	Distortion not requiring mitigation or mitigated distortion.	Distortion that requires mitigation that has not been addressed but does not require structural review.	

Concrete Ceiling Panels		
<u>Unit of Measure</u> Area (Feet²)	<u>Element Number</u> 1411	
<u>Specification</u>	<u>Commentary</u>	
Record this element for all concrete ceiling panels. Ceiling panels separate the upper plenum from space above the tunnel roadway. Ceiling panels are typically supported by hangers.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.	
The area of the ceiling panel is the product of the width and length of the panel.		

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Delamination/ Spall/ Patched area	None	Delaminated. Spall 1 in. or less deep or 6 in. or less diameter. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Patched area that is unsound or showing distress. Does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a
Exposed Rebar	None	Present without measureable section loss.	Present with measureable section loss, but does not warrant structural review.	structural review has been completed and the defects impact strength and
Efflorescence/ Rust Staining	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	serviceability of the element or tunnel.
Cracking	Width less than 0.012 in. or spacing greater than 3.0 ft.	Width 0.012 - 0.05 in. or spacing of 1.0 – 3.0 ft.	Width greater than 0.05 in. or spacing less than 1 ft.	

Other Ceiling Panels				
<u>Unit of Measure</u> Area (Feet²)	Element Number 1412			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all ceiling panels composed of other materials. Ceiling panels separate the upper plenum from space above the tunnel roadway. Ceiling panels are typically supported by hangers.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.			
The area of the ceiling panel is the product of the width and length of the panel.				

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
General Condition	Good condition – no notable distress	Fair condition- isolated breakdowns or deterioration.	Poor condition – widespread deterioration or breakdowns without reducing load capacity.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a structural review has been completed and the defects impact strength and serviceability of the element or tunnel.

Concrete Invert Slab			
<u>Unit of Measure</u> Area (Feet²)	Element Number 1500		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all concrete invert slabs. This element defines those structural slabs which support the roadway and traffic loads.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.		
The total area of the invert slab is the product of the width and length of the slab.	The slab evaluation is three dimensional with the defects observed on the top surface, bottom surface, or both, and being captured using the defined condition states. Slab top or bottom surfaces that are not visible for inspection shall be assessed based on the available visible surface. If both top and bottom surfaces are not visible, the condition shall be assessed based on destructive and nondestructive testing or indicators in the materials covering the surfaces.		

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Delamination/ Spall/ Patched area	None	Delaminated. Spall 1 in. or less deep or 6 in. or less diameter. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Patched area that is unsound or showing distress. Does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a
Exposed Rebar	None	Present without measureable section loss.	Present with measureable section loss, but does not warrant structural review.	structural review has been completed and the defects impact strength and
Efflorescence/ Rust Staining	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	serviceability of the element or tunnel.
Cracking	Width less than 0.012 in. or spacing greater than 3.0 ft.	Width 0.012 - 0.05 in. or spacing of 1.0 – 3.0 ft.	Width greater than 0.05 in. or spacing less than 1 ft.	

Other Invert Slab			
<u>Unit of Measure</u> Area (Feet²)	Element Number 1501		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all invert slabs composed of other materials. This element defines those structural slabs which support the roadway and traffic loads.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.		
The total area of the invert slab is the product of the width and length of the slab.	The slab evaluation is three dimensional with the defects observed on the top surface, bottom surface, or both, and being captured using the defined condition states. Slab top or bottom surfaces that are not visible for inspection shall be assessed based on the available visible surface. If both top and bottom surfaces are not visible, the condition shall be assessed based on destructive and nondestructive testing or indicators in the materials covering the surfaces.		

Condition Stat	Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
General Condition	Good condition – no notable distress	Fair condition- isolated breakdowns or deterioration.	Poor condition – widespread deterioration or breakdowns without reducing load capacity.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a structural review has been completed and the defects impact strength and serviceability of the element or tunnel.	

Concrete Slab-on-Grade			
<u>Unit of Measure</u> Area (Feet²)	<u>Element Number</u> 1510		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all concrete slabs-on- grade. This element defines a slab that is supported continuously on a subbase material.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.		
The area of the slab-on-grade is the product of the width and length of the.			

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Delamination/ Spall/ Patched area	None	Delaminated. Spall 1 in. or less deep or 6 in. or less diameter. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Patched area that is unsound or showing distress. Does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a
Exposed Rebar	None	Present without measureable section loss.	Present with measureable section loss, but does not warrant structural review.	structural review has been completed and the defects impact strength and
Cracking	Width less than 0.012 in. or spacing greater than 3.0 ft.	Width 0.012 - 0.05 in. or spacing of 1.0 – 3.0 ft.	Width greater than 0.05 in. or spacing of less than 1 ft.	serviceability of the element or tunnel.
Settlement	None	Exists within tolerable limits or arrested with no observed structural distress.	Exceeds tolerable limits but does not warrant structural review.	

Other Slab-on-Grade			
<u>Unit of Measure</u> Area (Feet²)	Element Number 1511		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all slabs-on-grade composed of other materials. This element defines a slab that is supported continuously on a subbase material.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.		
The area of the slab-on-grade is the product of the width and length of the.			

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
General Condition	Good condition – no notable distress	Fair condition- isolated breakdowns or deterioration.	Poor condition – widespread deterioration or breakdowns without reducing load capacity.	The condition warrants a structural review to determine the effect on strength or serviceability of
Settlement	None	Exists within tolerable limits or arrested with no observed structural distress.	Exceeds tolerable limits but does not warrant structural review.	the element or tunnel, OR a structural review has been completed and the defects impact strength and serviceability of the element or tunnel.

Steel Invert Girder				
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1550			
<u>Specification</u>	Commentary			
Record this element for all steel invert girders. This element defines the invert girders which support the invert slabs.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.			
The total quantity for invert girder is the sum of all the lengths of each invert girder.				

Condition Stat	Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
Corrosion	None	Freckled rust. Corrosion of steel has initiated.	Section loss is evident or pack rust is present but does not warrant structural review.	The condition warrants a structural review to determine the effect on strength	
Cracking	None	Crack that has self arrested or has been arrested with effective arrest holes, doubling plates, or similar.	Identified crack exists that is not arrested but does not warrant structural review.	or serviceability of the element or tunnel, OR a structural review has been completed and the	
Connection	Connection is in place and functioning as intended.	Loose fasteners or pack rust without distortion is present but the connection is in place and functioning as intended.	Missing bolts, rivets, broken welds, fasteners or pack rust with distortion but does not warrant a structural review.	defects impact strength and serviceability of the element or tunnel.	
Distortion	None	Distortion not requiring mitigation or mitigated distortion.	Distortion that requires mitigation that has not been addressed but does not require structural review.		

Concrete Invert Girder				
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1551			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all concrete invert girders. This element defines the invert girders which support the invert slabs.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.			
The total quantity for invert girder is the sum of all the lengths of each invert girder.				

Condition State Definitions					
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
Delamination/ Spall/ Patched area	None	Delaminated. Spall 1 in. or less deep or 6 in. or less diameter. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Patched area that is unsound or showing distress. Does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a	
Exposed Rebar	None	Present without measureable section loss.	Present with measureable section loss, but does not warrant structural review.	structural review has been completed and the defects impact strength and	
Efflorescence	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	serviceability of the element or tunnel.	
Cracking	Width less than 0.012 in. or spacing greater than 3.0 ft.	Width 0.012 - 0.05 in. or spacing of 1.0 – 3.0 ft.	Width greater than 0.05 in. or spacing less than 1 ft.		

Prestressed Concrete Invert Girder				
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1552			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all prestressed concrete invert girders. This element defines the invert girders which support the invert slabs.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.			
The total quantity for invert girder is the sum of all the lengths of each invert girder.				

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Delamination/ Spall/ Patched area	None	Delaminated. Spall 1 in. or less deep or 6 in. or less diameter. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Patched area that is unsound or showing distress. Does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a
Exposed Rebar	None	Present without measureable section loss.	Present with measureable section loss, but does not warrant structural review.	structural review has been completed and the defects impact strength and
Exposed Prestressing	None	Present without section loss.	Present with section loss, but does not warrant structural review.	serviceability of the element or tunnel.
Cracking	Width less than 0.004 in. or spacing greater than 3 ft.	Width 0.004 - 0.009 in. or spacing of 1.0 – 3.0 ft.	Width greater than 0.009 in. or spacing less than 1 ft.	
Efflorescence/ Rust Staining	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	

Other Invert Girder				
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1553			
Specification	<u>Commentary</u>			
Record this element for all invert girders composed of other materials. This element defines the invert girders which support the invert slabs.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.			
The total quantity for invert girder is the sum of all the lengths of each invert girder.				

Condition State Definitions					
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
General Condition	Good condition – no notable distress	Fair condition – isolated breakdowns or deterioration.	Poor condition – widespread deterioration or breakdowns without reducing load capacity.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a structural review has been completed and the defects impact strength and serviceability of the element or tunnel.	

Strip Seal Expansion Joint				
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1600			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all strip seal expansion joints. This element defines those expansion joint devices which utilize a neoprene type waterproof gland with some type of metal extrusion or other system to anchor the gland.  The total quantity for expansion joints is the sum of all the lengths of each joint.				

Condition Sta	Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
Leakage	None	Minimal. Minor dripping through the joint.	Moderate. More than a drip and less than free flow of water.	Free flow of water through the joint.	
Seal Adhesion	Fully adhered.	Adhered for more than 50% of the joint height.	Adhered 50% or less of the joint height but still some adhesion.	Complete loss of adhesion.	
Seal Damage	None	Seal abrasion without punctures.	Punctured or ripped or partially pulled out.	Punctured completely through, pulled out, or missing.	
Seal cracking	None	Surface crack.	Crack that partially penetrates the seal.	Crack that fully penetrates the seal.	
Debris Impaction	No debris to a shallow cover of loose debris may be evident but does not affect the performance of the joint.	Partially filled with hard-packed material, but still allowing free movement.	Completely filled and impacts joint movement.	Completely filled and prevents joint movement.	
Adjacent Deck or Header	Sound. No spall, delamination or unsound patch.	Edge delamination or spall 1 in. or less deep or 6 in. or less in diameter. No exposed rebar. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Exposed rebar. Delamination or unsound patched area that makes the joint loose.	Spall, delamination, unsound patched area or loose joint anchor that prevents the joint from functioning as intended.	

Condition State Definitions Cont.					
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
Metal Deterioration or Damage	None	Freckled rust, metal has no cracks, or impact damage. Connections may be loose but functioning as intended.	Section loss, missing or broken fasteners, cracking of the metal or impact damage but joint is still functioning.	Metal cracking, section loss, damage or connection failure that prevents the joint from functioning as intended.	

Pourable Joint Seal				
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1601			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all pourable joint seals. This element defines those joints filled with a pourable seal with or without a backer.				
The total quantity for expansion joints is the sum of all the lengths of each joint.				

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Leakage	None	Minimal. Minor dripping through the joint.	Moderate. More than a drip and less than free flow of water.	Free flow of water through the joint.
Seal Adhesion	Fully adhered.	Adhered for more than 50% of the joint height.	Adhered 50% or less of the joint height but still some adhesion.	Complete loss of adhesion.
Seal Damage	None	Seal abrasion without punctures.	Punctured or ripped or partially pulled out.	Punctured completely through, pulled out, or missing.
Seal Cracking	None	Surface crack.	Crack that partially penetrates the seal.	Crack that fully penetrates the seal.
Debris Impaction	No debris to shallow cover of loose debris may be evident but does not affect the performance of the joint.	Partially filled with hard-packed material, but still allowing free movement.	Completely filled and impacts joint movement.	Completely filled and prevents joint movement.
Adjacent Deck or Header	Sound. No spall, delamination or unsound patch.	Edge delamination or spall 1 in. or less deep or 6 in. or less in diameter. No exposed rebar. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Exposed rebar. Delamination or unsound patched area that makes the joint loose.	Spall, delamination, unsound patched area or loose joint anchor that prevents the joint from functioning as intended.

Compression Joint Seal				
Unit of Measure Length (Feet)	<u>Element Number</u> 1602			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all compression joint seals. This element defines those joints filled with a preformed compression type seal. This joint does not have an anchor system to confine the seal.				
The total quantity for expansion joints is the sum of all the lengths of each joint.				

Condition State	Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
Leakage	None	Minimal. Minor dripping through joints.	Moderate. More than a drip and less than free flow of water.	Free flow of water through the joint.	
Seal Adhesion	Fully adhered.	Adhered for more than 50% of the joint height.	Adhered 50% or less of the joint height but still some adhesion.	Complete loss of adhesion.	
Seal Damage	None	Seal abrasion without punctures.	Punctured or ripped or partially pulled out.	Punctured completely through, pulled out, or missing.	
Seal Cracking	None	Surface crack.	Crack that partially penetrates the seal.	Crack that fully penetrates the seal.	
Debris Impaction	No debris to a shallow cover of loose debris may be evident but does not affect the performance of the joint.	Partially filled with hard-packed material, but still allowing free movement.	Completely filled and impacts joint movement.	Completely filled and prevents joint movement.	
Adjacent Deck or Header	Sound. No spall, delamination or unsound patch.	Edge delamination or spall 1 in. or less deep or 6 in. or less in diameter. No exposed rebar. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Exposed rebar. Delamination or unsound patched area that makes the joint loose.	Spall, delamination, unsound patched area or loose joint anchor that prevents the joint from functioning as intended.	

Assembly Joint with Seal				
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1603			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all assembly joints with seals. This element defines only those joints filled with an assembly mechanism that have a seal.				
The total quantity for expansion joints is the sum of all the lengths of each joint.				

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Leakage	None	Minimal. Minor dripping through joints.	Moderate. More than a drip and less than free flow of water.	Free flow of water through the joint.
Seal Adhesion	Fully adhered.	Adhered for more than 50% of the joint height.	Adhered 50% or less of joint height but still some adhesion.	Complete loss of adhesion.
Seal Damage	None	Seal abrasion without punctures.	Punctured or ripped or partially pulled out.	Punctured completely through, pulled out, or missing.
Seal Cracking	None	Surface crack.	Crack that partially penetrates the seal.	Crack that fully penetrates the seal.
Debris Impaction	No debris to a shallow cover of loose debris may be evident but does not affect the performance of the joint.	Partially filled with hard-packed material, but still allowing free movement.	Completely filled and impacts joint movement.	Completely filled and prevents joint movement.
Adjacent Deck or Header	Sound. No spall, delamination or unsound patch.	Edge delamination or spall 1 in. or less or 6 in. or less in diameter. No exposed rebar. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Exposed rebar. Delamination or unsound patched area that makes the joint loose.	Spall, delamination, unsound patched area or loose joint anchor that prevents the joint from functioning as intended.

Condition State Definitions Cont.				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Metal Deterioration or Damage	None	Freckled rust, metal has no cracks, or impact damage. Connections may be loose but functioning as intended.	Section loss, missing or broken fasteners, cracking of the metal or impact damage but joint is still functioning.	Metal cracking, section loss, damage or connection failure that prevents the joint from functioning as intended.

Open Expansion Joint			
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1604		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all open expansion joints. This element defines only those joints that are open and not sealed.			
The total quantity for expansion joints is the sum of all the lengths of each joint.			

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Debris Impaction	No debris to a shallow cover of loose debris may be evident but does not affect the performance of the joint.	Partially filled with hard-packed material, but still allowing free movement.	Completely filled and impacts joint movement.	Completely filled and prevents joint movement.
Adjacent Deck or Header	Sound. No spall, delamination or unsound patch.	Edge delamination or spall 1 in. or less or 6 in. or less in diameter. No exposed rebar. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Exposed rebar. Delamination or unsound patched area that makes the joint loose.	Spall, delamination, unsound patched area or loose joint anchor that prevents the joint from functioning as intended.

Assembly Joint without Seal				
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1605			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all assembly joints without seals. This element defines only those assembly joints that are open and not sealed. These joints include finger and sliding plate joints.				
The total quantity for expansion joints is the sum of all the lengths of each joint.				

Condition State	Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
Debris Impaction	No debris to a shallow cover of loose debris may be evident but does not affect the performance of the joint.	Partially filled with hard-packed material, but still allowing free movement.	Completely filled and impacts joint movement.	Completely filled and prevents joint movement.	
Adjacent Deck or Header	Sound. No spall, delamination or unsound patch.	Edge delamination or spall 1 in. or less or 6 in. or less in diameter. No exposed rebar. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Exposed rebar. Delamination or unsound patched area that makes the joint loose.	Spall, delamination, unsound patched area or loose joint anchor that prevents the joint from functioning as intended.	
Metal Deterioration or Damage	None	Freckled rust, metal has no cracks, or impact damage. Connections may be loose but functioning as intended.	Section loss, missing or broken fasteners, cracking of the metal or impact damage but joint is still functioning.	Metal cracking, section loss, damage or connection failure that prevents the joint from functioning as intended.	

Gasket				
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1610			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all gaskets. This element defines those gaskets which are joints between segmental tunnel liners and can be made of lead, mastic, or rubber.				
The total quantity for gasket is the sum of all lengths of each gasket.				

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Leakage	None	Minimal. Minor dripping through joints.	Moderate. More than a drip and less than free flow of water.	Free flow of water through the joint.
Seal Adhesion	Fully adhered.	Adhered for more than 50% of the joint height.	Adhered 50% or less of joint height but still some adhesion.	Complete loss of adhesion.
Seal Damage	None	Seal abrasion without punctures.	Punctured or ripped or partially pulled out.	Punctured completely through, pulled out, or missing.
Seal Cracking	None	Surface crack.	Crack that partially penetrates the seal.	Crack that fully penetrates the seal.
Debris Impaction	No debris to a shallow cover of loose debris may be evident but does not affect the performance of the joint.	Partially filled with hard-packed material, but still allowing free movement.	Completely filled and impacts joint movement.	Completely filled and prevents joint movement.
Adjacent Deck or Header	Sound. No spall, delamination or unsound patch.	Edge delamination or spall 1 in. or less or 6 in. or less in diameter. No exposed rebar. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Exposed rebar. Delamination or unsound patched area that makes the joint loose.	Spall, delamination, unsound patched area or loose joint anchor that prevents the joint from functioning as intended.

Condition State Definitions Cont.				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Metal Deterioration or Damage	None	Freckled rust, metal has no cracks, or impact damage. Connections may be loose but functioning as intended.	Section loss, missing or broken fasteners, cracking of the metal or impact damage but joint is still functioning.	Metal cracking, section loss, damage or connection failure that prevents the joint from functioning as intended.

## 3.3—Civil Section

## **Civil Section**

This section defines tunnel civil elements and the methodology for determining total element quantities and condition state quantities. The following elements are included.

Element #	Element Name	Unit of Measure	
	Wearing Surface		
1800	Concrete Wearing Surface	AREA (Feet <sup>2</sup> )	
1801	Asphalt Wearing Surface	AREA (Feet <sup>2</sup> )	
1802	Other Wearing Surface	AREA (Feet <sup>2</sup> )	
	Traffic Barrier		
1850	Concrete Traffic Barrier	LENGTH (Feet)	
1851	Steel Traffic Barrier	LENGTH (Feet)	
1852	Other Traffic Barrier	LENGTH (Feet)	
	Pedestrian Railing		
1900	Concrete Pedestrian Railing	LENGTH (Feet)	
1901	Steel Pedestrian Railing	LENGTH (Feet)	
1902	Other Pedestrian Railing LENGTH (Fe		

Concrete Wearing Surface				
<u>Unit of Measure</u> Area (Feet²)	<u>Element Number</u> 1800			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all concrete wearing surfaces. This element defines the tunnel roadway surface that carries the. The wearing surface is sacrificial and helps protect the structural slab from wear and damage.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.			
The total area of the wearing surface is the product of the width and length of the surface.				

Condition Sta	Condition State Definitions			
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Delamination/ Spall/ Patched area/pothole	None	Delaminated. Spall less than 1 in. deep or less than 6 in. diameter. Patched area that is sound. Partial depth pothole.	Spalls 1 in. deep or greater or 6 in. diameter or greater. Patched area that is unsound or showing distress. Full depth pothole.	The wearing surface is no longer effective.
Crack	Width less than 0.012 in. or spacing greater than 3.0 ft.	Width 0.012 - 0.05 in. or spacing of 1.0 – 3.0 ft.	Width greater than 0.05 in. or spacing of less than 1.0 ft.	
Effectiveness	Fully effective. No evidence of leakage or further deterioration of the protected element.	Substantially effective. Deterioration of the protected element has slowed.	Limited effectiveness. Deterioration of the protected element has progressed.	

Asphalt Wearing Surface				
<u>Unit of Measure</u> Area (Feet²)	<u>Element Number</u> 1801			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all asphalt wearing surfaces. This element defines the tunnel roadway surface that carries the vehicles. The wearing surface is sacrificial and helps protect the structural slab from wear and damage.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.			
The total area of the wearing surface is the product of the width and length of the surface.				

Condition State Definitions					
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
General Condition	Good condition – no notable distress	Fair condition- isolated breakdowns or deterioration.	Poor condition – widespread deterioration or breakdowns without reducing load capacity.	The wearing surface is no longer effective.	
Effectiveness	Fully effective. No evidence of leakage or further deterioration of the protected element.	Substantially effective. Deterioration of the protected element has slowed.	Limited effectiveness. Deterioration of the protected element has progressed.		

Other Wearing Surface				
<u>Unit of Measure</u> Area (Feet²)	<u>Element Number</u> 1802			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all wearing surfaces composed of other materials. This element defines the tunnel roadway surface that carries the vehicles. The wearing surface is sacrificial and helps protect the structural slab from wear and damage.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.			
The total area of the wearing surface is the product of the width and length of the surface.				

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
General Condition	Good condition – no notable distress	Fair condition- isolated breakdowns or deterioration.	Poor condition – widespread deterioration or breakdowns without reducing load capacity.	The wearing surface is no longer effective.
Effectiveness	Fully effective. No evidence of leakage or further deterioration of the protected element.	Substantially effective. Deterioration of the protected element has slowed.	Limited effectiveness. Deterioration of the protected element has progressed.	

Concrete Traffic Barrier				
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1850			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all concrete traffic barriers. This element defines those tunnel barriers adjacent to a roadway. All elements of the barrier must be concrete.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.			
The total quantity for traffic barrier is the sum of all the lengths of each traffic barrier.				

Condition Stat	Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
Delamination/ Spall/ Patched area	None	Delaminated. Spall 1 in. or less deep or 6 in. or less diameter. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Patched area that is unsound or showing distress. Does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a	
Exposed Rebar	None	Present without measureable section loss.	Present with measureable section loss, but does not warrant structural review.	structural review has been completed and the defects impact strength and	
Efflorescence/ Rust Staining	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	serviceability of the element or tunnel.	
Cracking	Width less than 0.012 in. or spacing greater than 3.0 ft.	Width 0.012 - 0.05 in. or spacing of 1.0 – 3.0 ft.	Width greater than 0.05 in. or spacing less than 1 ft.		

Steel Traffic Barrier				
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1851			
<u>Specification</u>	Commentary			
Record this element for all steel traffic barriers. This element defines those tunnel barriers adjacent to a roadway. Horizontal members must be steel, however, posts may be made of steel, timber, concrete or other materials.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.			
The total quantity for traffic barrier is the sum of all the lengths of each traffic barrier.				

Condition Sta	Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
Corrosion	None	Freckled rust. Corrosion of steel has initiated.	Section loss is evident or pack rust is present but does not warrant structural review.	The condition warrants a structural review to determine the effect on strength	
Cracking	None	Crack that has self arrested or has been arrested with effective arrest holes, doubling plates, or similar.	Identified crack exists that is not arrested but does not warrant a structural review.	or serviceability of the element or tunnel, OR a structural review has been completed and the	
Connections	Connection is in place and functioning as intended.	Loose fasteners or pack rust without distortion is present but the connection is in place and functioning as intended.	Missing bolts, rivets, broken welds, fasteners or pack rust with distortion but does not warrant a structural review.	defects impact strength and serviceability of the element or tunnel.	
Distortion	None	Distortion not requiring mitigation or mitigated distortion.	Distortion that requires mitigation that has not been addressed but does not warrant structural review.		

Other Traffic Barrier				
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1852			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all traffic barriers composed of other materials. This element defines those tunnel barriers adjacent to a roadway.	Visual assessments may be supplemented with non-destructive or destructive testing results for all elements.			
The total quantity for traffic barrier is the sum of all the lengths of each traffic barrier.				

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
General Condition	Good condition – no notable distress	Fair condition- isolated breakdowns or deterioration.	Poor condition – widespread deterioration or breakdowns without reducing load capacity.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a structural review has been completed and the defects impact strength and serviceability of the element or tunnel.

Concrete Pedestrian Railing			
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1900		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all concrete pedestrian railing. This element defines those tunnel railings adjacent to a walkway.			
The total quantity for pedestrian railing is the sum of all the lengths of each pedestrian railing.			

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Delamination/ Spall/ Patched area	None	Delaminated. Spall 1 in. or less deep or 6 in. or less diameter. Patched area that is sound.	Spall greater than 1 in. deep or greater than 6 in. diameter. Patched area that is unsound or showing distress. Does not warrant structural review.	The condition warrants a structural review to determine the effect on strength or serviceability of the element or tunnel, OR a
Exposed Rebar	None	Present without measureable section loss.	Present with measureable section loss, but does not warrant structural review.	structural review has been completed and the defects impact strength and
Efflorescence/ Rust Staining	None	Surface white without build-up or leaching without rust staining.	Heavy build-up with rust staining.	serviceability of the element or tunnel.
Cracking	Width less than 0.012 in. or spacing greater than 3.0 ft.	Width 0.012 - 0.05 in. or spacing of 1.0 – 3.0 ft.	Width greater than 0.05 in. or spacing less than 1 ft.	
Out-of-Plumb	None	Minor tilt which is barely noticeable.	Excessive tilt that affects operations or near failure.	

Steel Pedestrian Railing			
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1901		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all steel pedestrian railing. This element defines those tunnel railings adjacent to a walkway.			
The total quantity for pedestrian railing is the sum of all the lengths of each pedestrian railing.			

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Corrosion	None	Freckled rust. Corrosion of steel has initiated.	Section loss is evident or pack rust is present but does not warrant structural review.	The condition warrants a structural review to determine the effect on strength
Cracking	None	Crack that has self arrested or has been arrested with effective arrest holes, doubling plates, or similar.	Identified crack exists that is not arrested but does not warrant a structural review.	or serviceability of the element or tunnel, OR a structural review has been completed and the
Connections	Connection is in place and functioning as intended.	Loose fasteners or pack rust without distortion is present but the connection is in place and functioning as intended.	Missing bolts, rivets, broken welds, fasteners or pack rust with distortion but does not warrant a structural review.	defects impact strength and serviceability of the element or tunnel.
Distortion	None	Distortion not requiring mitigation or mitigated distortion.	Distortion that requires mitigation that has not been addressed but does not warrant structural review.	
Out-of-Plumb	None	Minor tilt which is barely noticeable.	Excessive tilt that affects operations or near failure.	

Other Pedestrian Railing				
<u>Unit of Measure</u> Length (Feet)	<u>Element Number</u> 1902			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all pedestrian railing composed of other materials. This element defines those tunnel railings adjacent to a walkway.				
The total quantity for pedestrian railing is the sum of all the lengths of each pedestrian railing.				

Condition State Definitions					
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
General Condition	Good condition – no notable distress	Fair condition- isolated breakdowns or deterioration.	Poor condition – widespread deterioration or breakdowns without reducing load capacity.	The condition warrants a structural review to determine the effect on strength or serviceability of	
Out-of-Plumb	None	Minor tilt which is barely noticeable.	Excessive tilt that affects operations or near failure.	the element or tunnel, OR a structural review has been completed and the defects impact strength and serviceability of the element or tunnel.	

### 3.4—Mechanical Systems Section

# **Mechanical Systems Section**

This section defines tunnel mechanical system elements and the methodology for determining total element quantities and condition state quantities. The following elements are included.

Element #	Element Name	Unit of Measure
	Ventilation System	
2000	Ventilation System	EACH
2001	Fans	EACH
	Drainage System	
2050	Draining and Pumping System	EACH
2051	Pumps	EACH
	Emergency Generator System	
2100	Emergency Generator System	EACH
	Flood Gate	
2150	Flood Gate	EACH

Ventilation System			
<u>Unit of Measure</u> Each	Element Number 2000		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all ventilation systems. This element describes the components that provide the supply of fresh air to the tunnel while removing stale air and contaminants.  The total quantity for ventilation system is the sum of all the ventilation systems.	The ventilation system may include the following subcomponents: Fans - Fan Motors, Fan Controller, Airways, Sound Attenuators, Dampers, Damper Motor, Damper Controller, Air Quality Monitoring Equipment (CO), Control Panels and Conduit.  Damper inspection should also include a review of the maintenance records for each piece of equipment and note any special or frequent maintenance problems.  For this element, a separate ventilation system is considered to be one system. Tunnels with twin bores may have separate ventilation systems and would be considered as two. Some tunnels may have a ventilation system at each portal that work independently and would also be considered as two.		

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
System Operations	The system operates at capacity.	The system operates at a capacity of not less than 95%.	The system operates at a capacity less than 95%, but has not fallen below the minimum safe capacity.	The system does not operate at the minimum safe capacity.

Fans				
<u>Unit of Measure</u> Each	Element Number 2001			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all fans. This element describes the components that produce a current of air which provides the supply of fresh air to the tunnel while removing stale air and contaminants.	The fans may include the following subcomponents: Fan Motors, Fan Controller, etc.			
The total quantity for fans is the sum of all the fans.				

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Fan Operation (includes fan belt, fan chain, fan bearing temperature and/or fan drive temperature)	Operates on all speeds and in all modes with no noticeable temperature rise.	Operates on all speeds and in all modes. Requires manual restart or manual control to achieve this. Drive(s) require some adjustment. More than normal play observed. (If belt – minor wear/deterioration to belt.) Less than 40 degree F temperature rise form ambient temperatures during operation.	Fan operates on at least one speed or only operates in manual mode. Drive(s) require major adjustment. Severe play and/or belt/chain noise is observed. (If belt – moderate wear/deterioration to belt.) Between 40 degree F and 80 degree F temperature rise form ambient temperatures during operation.	Fan will not operate on any speed. Over 80 degree F temperature rise form ambient temperatures during operation.

Draining and Pumping System			
<u>Unit of Measure</u> Each	Element Number 2050		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all draining and pumping systems. This element includes storm drains, piping, pumps and water treatment equipment for the removal of water that may enter the tunnel from the portals, vent shafts, and cracks in the tunnel lining. Drainage at the tunnel facility also handles the drippings from vehicles traversing the tunnel and potential spills from trucks hauling liquid materials.	The drainage and pumping system may include the following subcomponents: Pumps – Sump Pumps, Pump Motors, Pump Controller, Piping, Drains and Water Treatment Equipment.  For this element, a separate draining and pumping system is considered to be one system. Tunnels with twin bores may have separate draining and pumping systems and would be considered as two. Some tunnels		
The total quantity for draining and pumping system is the sum of all the draining and pumping systems.	may have a draining and pumping system at each portal that work independently and would also be considered as two.		

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
System Operations	The system operates at capacity.	The system operates at a capacity of not less than 95%.	The system operates at a capacity less than 95%, but has not fallen below the minimum safe capacity.	The system does not operate at the minimum safe capacity.

Pumps				
Unit of Measure Each	Element Number 2051			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all pumps. This element includes the component that moves water that may enter the tunnel from the portals, vent shafts, and crack in the tunnel lining.	The pumps may include the following subcomponents: Sump Pumps, Pump Motors, Pump Controller, etc.			
The total quantity for pumps is the sum of all the pumps.				

Condition State Definitions				
Defect	Condition	Condition	Condition	Condition
Pump Operation (Includes Sump Pump, Pump Motor, Pump Controller, Pump Control Panel, Oil Leakage, Pump Leakage, Noise and Vibration and Temperature)	State 1 Operates at all speeds and in all modes. Shut-off valves operate freely and without binding. Fair amount of noise and vibration velocity of 100 in./s or less. No oil leakage observed. No leakage observed at pump seal. No water leakage noted in	State 2 Operates at all speeds and in all modes in a reduced capacity. Shut-off valves operate with some resistance and binding but do appear to fully open/seal. Slightly rough noise and vibration velocity between 100 and 300 in./s. Limited	State 3 Operates intermittently or haltingly. Shut-off valves difficult or impossible to operate. Rough noise and vibration velocity in excess of 300 in./s. Extensive exterior staining from oil seepage around seals. Measurable water seepage around seals that	Pump will not operate. Pooling of oil on exterior surfaces of seals or significant reduction of interior lubricant level. A visible stream of water on exterior surfaces of seals or significant reduction of pump performance. Motor temperature
	immediate piping and valves. Motor temperature is within expected limits.	exterior staining from oil seepage at seals. Limited exterior water seepage from seals with seals appearing wet. Motor temperature is slightly increased during motor operation.	can be quantified in drips per minute. Motor temperature is moderately above what is expected and/or hot spots of temperature exist.	is drastically increased and motor function is influenced.

Emergency Generator System		
<u>Unit of Measure</u> Each	Element Number 2100	
<u>Specification</u>	<u>Commentary</u>	
Record this element for all emergency generator systems. These elements are the mechanical components of an emergency generator and power system which consist of fuel delivery, fuel storage, an engine cooling and exhaust systems. The emergency generator provides a back-up power source in the event of utility service failure to the tunnel. The mechanical systems support the proper operation of the generator to provide back-up power.	The emergency generator system may include the following subcomponents: Fuel Main Storage Tank, Fuel Day Tanks, Circulating Fuel Pumps, Fuel Tank Venting, Fuel Tank Sensors, Coolant Systems, Exhaust Manifold Insulation and Lagging, Exhaust Air Louver and Damper Actuator, Supply Air Louver and Damper Actuator, Generator, Generator Control Equipment, Control Panels and Conduit.	
The total quantity for emergency generator is the sum of all the emergency generator systems.	For this element, a separate emergency generator system is considered to be one system. Tunnels with twin bores may have separate emergency generator systems and would be considered as two.	

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
System Operations	The system operates at capacity.	The system operates at a capacity of not less than 95%.	The system operates at a capacity less than 95%, but has not fallen below the minimum safe capacity.	The system does not operate at the minimum safe capacity.

Flood Gate				
<u>Unit of Measure</u> Each	<u>Element Number</u> 2150			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all flood gates. These elements are the actual gates, seals, mechanical components, and power supply of a flood gate system. The flood gates are typically located at each portal for each bore. The flood gates are usually used when the tunnel roadway is closed and the bores are threatened with taking on water at the portals.	For this element, a separate flood gate is considered to be one gate. Some tunnels may have a flood gate at each portal that work independently and would be considered as two.			
The total quantity for flood gate is the sum of all the flood gates.				

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
System Operations	The system operates at capacity.	The system operates at a capacity of not less than 95%.	The system operates at a capacity less than 95%, but has not fallen below the minimum safe capacity.	The system does not operate at the minimum safe capacity.

### 3.5—Electrical Systems Section

# **Electrical Systems Section**

This section defines tunnel electrical systems elements and the methodology for determining total element quantities and condition state quantities. The following elements are included.

Element #	Element Name	Unit of Measure
	Electrical Distribution	
2200	Electrical Distribution System	EACH
	Emergency Distribution	
2250	Emergency Distribution System	EACH

Electrical Distribution System			
<u>Unit of Measure</u> Each	Element Number 2200		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all electrical distribution systems. The electrical distribution system consists of the electrical equipment, wiring, conduit, and cable used for distributing electrical energy from the utility supply (service entrance) to the line terminals of utilization equipment.	The electrical distribution system may include the following subcomponents: Switchgear, Unit Substations, Switchboard, Motor Control Centers, Starters, Transformers, Transfer Switches, Panelboards, Conduits and Raceways, and Electrical Outlets/Receptacles.		
The total quantity for electrical distribution system is the sum of all the electrical distribution systems.	For this element, a separate electrical distribution system is considered to be one system. Tunnels with twin bores may have separate electrical distribution systems and would be considered as two.		

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
System Operations	The system operates at capacity.	The system operates at a capacity of not less than 95%.	The system operates at a capacity less than 95%, but has not fallen below the minimum safe capacity.	The system does not operate at the minimum safe capacity.

Emergency Distribution System			
<u>Unit of Measure</u> Each	Element Number 2250		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all emergency distribution systems. This system consists of the electrical equipment, wiring, conduit, and cable used for providing electrical power in case of utility service failure. Equipment included in this system consists of emergency generators and/or uninterruptible power supply (UPS) systems, transfer switches, and other equipment supplying emergency power.  The total quantity for emergency distribution system is the sum of all the emergency distribution systems.	The emergency distribution system may include the following subcomponents: Uninterruptable Power Supply (UPS), batteries and battery charging equipment.  For this element, a separate emergency distribution system is considered to be one system. Tunnels with twin bores may have separate emergency distribution systems and would be considered as two.		

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
System Operations	The system operates at capacity.	The system operates at a capacity of not less than 95%.	The system operates at a capacity less than 95%, but has not fallen below the minimum safe capacity.	The system does not operate at the minimum safe capacity.

### 3.6—Lighting Systems Section

# **Lighting Systems Section**

This section defines tunnel lighting systems elements and the methodology for determining total element quantities and condition state quantities. The following elements are included.

Element #	Element Name	Unit of Measure
	Tunnel Lighting	
2300	Tunnel Lighting Systems	EACH
2301	Tunnel Lighting Fixtures	EACH
	Emergency Lighting	
2350	Emergency Lighting Systems	EACH
2351	Emergency Lighting Fixtures	EACH

Tunnel Lighting System			
<u>Unit of Measure</u> Each	Element Number 2300		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all tunnel lighting systems. These systems consist of the light fixtures, supports, bulb housings, lenses, light switches, junction boxes, wiring, conduit,	The tunnel lighting system may also include the following subcomponents: photo controls, and remote ballasts.		
cable, sensors, and controllers used to provide lighting for the tunnel.	For this element, a separate tunnel lighting system is considered to be one system.  Tunnels with twin bores may have separate		
The total quantity for tunnel lighting system is the sum of all the tunnel lighting systems.	tunnel lighting systems and would be considered as two.		

Condition State Definitions					
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
System Operations	The system operates at capacity.	The system operates at a capacity of not less than 95%.	The system operates at a capacity less than 95%, but has not fallen below the minimum safe capacity.	The system does not operate at the minimum safe capacity.	

Tunnel Lighting Fixture			
<u>Unit of Measure</u> Each	<u>Element Number</u> 2301		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all tunnel lighting fixtures. This element includes the physical housing of the tunnel lights and their connections to the tunnel.			
The total quantity for tunnel lighting fixture is the sum of all the tunnel lighting fixtures.			

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Component Supports	No deficient support conditions.	Minor section loss of mounting hardware.	Moderate deterioration of supports, or limited local failure of supports.	Failed sections or supports.
Component Paint and Corrosion	Free from rust and corrosion.	80% or more is clean, painted, and free from corrosion.	50% to 80% is clean, painted, and free from corrosion.	50% or less is clean, painted, and free from corrosion.
Component Housing or Enclosure	No damages.	Slight damage or cracks to enclosure.	Moderate damage or cracks to enclosure.	Significant damage, cracks, or holes to enclosure.

Emergency Lighting System			
<u>Unit of Measure</u> Each	Element Number 2350		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all emergency lighting systems. These systems consist of the light fixtures, supports, bulb housings, lenses, light switches, junction boxes, wiring, conduit, cable, sensors, and controllers used to provide emergency lighting for the facility	The emergency lighting system may also include the following subcomponents: exit signs, batteries; and support space sighting, and remote ballasts.  For this element, a separate emergency lighting system is considered to be one		
The total quantity for emergency lighting system is the sum of all the emergency lighting systems.	system. Tunnels with twin bores may have separate emergency lighting systems and would be considered as two.		

Condition State Definitions					
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
System Operations	The system operates at capacity.	The system operates at a capacity of not less than 95%.	The system operates at a capacity less than 95%, but has not fallen below the minimum safe capacity.	The system does not operate at the minimum safe capacity.	

Emergency Lighting Fixture			
<u>Unit of Measure</u> Each	<u>Element Number</u> 2351		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all emergency lighting fixtures. This element includes the physical housing of the emergency lights and their connections to the tunnel.			
The total quantity for emergency lighting fixture is the sum of all the emergency lighting fixtures.			

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Component Supports	No deficient support conditions.	Minor section loss of mounting hardware.	Moderate deterioration of supports, or limited local failure of supports.	Failed sections or supports.
Component Paint and Corrosion	Free from rust and corrosion.	80% or more is clean, painted, and free from corrosion.	50% to 80% is clean, painted, and free from corrosion.	50% or less is clean, painted, and free from corrosion.
Component Housing or Enclosure	No damages.	Slight damage or cracks to enclosure.	Moderate damage or cracks to enclosure.	Significant damage, cracks, or holes to enclosure.

### 3.7—Fire/Life Safety/Security Systems Section

# Fire/Life Safety/Security Systems Section

This section defines tunnel fire/life safety/security systems elements and the methodology for determining total element quantities and condition state quantities. The following elements are included.

Element #	Element Name	Unit of Measure
	Fire Detection	
2400	Fire Detection System	EACH
	Fire Protection	
2450	Fire Protection System	EACH
	Emergency Communications	
2500	Emergency Communications System	EACH
	Operations and Security	
2550	Tunnel Operations and Security System	EACH

Fire Detection System			
<u>Unit of Measure</u> Each	Element Number 2400		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all fire detection systems. These systems consist of control panels, initiating devices (heat and smoke detectors, pull-stations, etc.), notification	The fire detection system may also include the following subcomponents: sensors, controls, and alarms.		
appliances (strobes, horns, etc.), wiring, conduit, and cable used to detect a fire in the tunnel.	For this element, a separate fire detection system is considered to be one system.  Tunnels with twin bores may have separate fire detection systems and would be		
The total quantity for fire detection system is the sum of all the fire detection systems.	considered as two.		

Condition State Definitions					
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
System Operations	The system operates at capacity.	The system operates at a capacity of not less than 95%.	The system operates at a capacity less than 95%, but has not fallen below the minimum safe capacity.	The system does not operate at the minimum safe capacity.	
Detection Sensor Operations (heat and smoke detectors)	All detection sensors are operational.		Detection sensors are not operational in one zone.	Detection sensors are not operational in multiple zones.	

Fire Protection System			
<u>Unit of Measure</u> Each	Element Number 2450		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all fire protection systems. These systems consist of fire extinguishers, hose connections, storage tanks, fire hydrants, building sprinklers, pumping systems, piping, circulating pumps, and hose reels used as fire protection in the tunnel.	The fire protection system may include the following subcomponents: main fire pump, pressure maintenance/jockey pump, dry pipe valve, valves and tamper switches, storage tanks, tunnel stand pipe, pressure relief and air release valves, backflow prevention, hose stations, hose reels, building sprinklers, fire department connections and fire hydrants.		
The total quantity for fire protection system is the sum of all the fire protection systems.	For this element, a separate fire protection system is considered to be one system.  Tunnels with twin bores may have separate fire protection systems and would be considered as two.		

Condition State Definitions						
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4		
System Operations	The system operates at capacity.	The system operates at a capacity of not less than 95%.	The system operates at a capacity less than 95%, but has not fallen below the minimum safe capacity.	The system does not operate at the minimum safe capacity.		

Emergency Communication System			
<u>Unit of Measure</u> Each	Element Number 2500		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all emergency communication systems. The components of the emergency communication system include the communication device itself (i.e. intercom, radios, cell-phone), receivers, wiring,	The emergency communications system may also include the following subcomponents: signs, controllers, speakers and audio input equipment.		
exchange devices, etc.	For this element, a separate emergency communication system is considered to be		
The total quantity for emergency	one system. Tunnels with twin bores may		
communication system is the sum of all the	have separate emergency communication		
emergency communication systems.	systems and would be considered as two.		

Condition State	e Definitions			
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
System Operations	The system operates at capacity.	The system operates at a capacity of not less than 95%.	The system operates at a capacity less than 95%, but has not fallen below the minimum safe capacity.	The system does not operate at the minimum safe capacity.

Tunnel Operations and Security System			
<u>Unit of Measure</u> Each	Element Number 2550		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all tunnel operations and security systems. These systems consist of the communication equipment (CCTV cameras, telephones, radios, etc.) used to provide communication within and from the tunnel.	The tunnel operations and security system may also include the following subcomponents: closed-circuit camera system, cell phone antennas, door access, controller and radio.		
The total quantity for tunnel operations and security system is the sum of all the tunnel operations and security systems.	For this element, a separate tunnel operation and security system is considered to be one system. Tunnels with twin bores may have separate tunnel operations and security systems and would be considered as two.		

Condition State Definitions					
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
System Operations	The system operates at capacity.	The system operates at a capacity of not less than 95%.	The system operates at a capacity less than 95%, but has not fallen below the minimum safe capacity.	The system does not operate at the minimum safe capacity.	

### 3.8—Signs Section

# **Signs Section**

This section defines tunnel sign elements and the methodology for determining total element quantities and condition state quantities. The following elements are included.

Element #	Element Name	Unit of Measure
	Traffic Guidance	
2600	Traffic Sign	EACH
	Pedestrian	
2625	Pedestrian Sign	EACH
	Variable Message Boards	
2650	Variable Message Board	EACH
	Lane Signal	
2675	Lane Signal	EACH
2676	Lane Signal Fixture	EACH

Traffic Sign			
<u>Unit of Measure</u> Each	<u>Element Number</u> 2600		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all traffic signs. These elements consist of the traffic sign and supports. Signs for pedestrians, variable message signs and lane signals are not covered under this element.	The MUTCD Chapter 2 contains the requirements for the shape and wording of regulatory, warning and guide signs on a highway or road. It also contains requirements for maintaining minimum retroreflectivity of signs.		
The total quantity for traffic signs is the sum of all the traffic signs.			

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Support condition	No deficient support conditions.	Minor loss of mounting hardware.	Moderate deterioration of supports, or limited local failure of supports.	Failed sections of supports.

Pedestrian Sign				
<u>Unit of Measure</u> Each	<u>Element Number</u> 2625			
<u>Specification</u>	<u>Commentary</u>			
Record this element for all pedestrian signs. This element consists of pedestrian signs and their supports that are not related to the emergency lighting system.	The MUTCD Chapter 2 contains the requirements for the shape and wording of regulatory, warning and guide signs on a highway or road. It also contains requirements for maintaining minimum retroreflectivity of			
The total quantity for pedestrian sign is the sum of all the pedestrian signs.	signs.			

O 100 O 1 D 10 10					
Condition State Definitions					
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
Support condition	No deficient support conditions.	Minor loss of mounting hardware.	Moderate deterioration of supports, or limited local failure of supports.	Failed sections of supports.	

Variable Message Board			
<u>Unit of Measure</u> Each	<u>Element Number</u> 2650		
<u>Specification</u>	Commentary		
Record this element for all variable message boards. This element consists of the variable message board, supports and associated electrical connections.	The MUTCD Chapter 2 contains the requirements for the shape and wording of regulatory, warning and guide signs on a highway or road. It also contains requirements for maintaining minimum retroreflectivity of		
The total quantity for variable message board is the sum of all the variable message boards.	signs.		

Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Support condition	No deficient support conditions.	Minor loss of mounting hardware.	Moderate deterioration of supports, or limited local failure of supports.	Failed sections of supports.
Sign Operation	Sign is functional and operates when tested.	Sign operates with minor decrease in light output, flicker, or reduced display area.	Sign operates with significant decrease in light output, flicker, and/or reduced display area.	Sign is not operational.

Lane Signal			
<u>Unit of Measure</u> Each	Element Number 2675		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all lane signals. The components of the tunnel lane signal system include the lane signals themselves, their supports and the control system.	The lane signals may include the following subcomponents: signals/fixtures, control station, control cabinets and conduit.		
The total quantity for lane signal is the sum of all the lane signals.	The MUTCD Chapter 2 contains the requirements for the shape and wording of regulatory, warning and guide signs on a highway or road. It also contains requirements for maintaining minimum retroreflectivity of signs.		

Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Support condition	No deficient support conditions.	Minor loss of mounting hardware.	Moderate deterioration of supports, or limited local failure of supports.	Failed sections of supports.
Sign Operation	Sign is functional and operates when tested.	Sign operates with minor decrease in light output, flicker, or reduced display area.	Sign operates with significant decrease in light output, flicker, and/or reduced display area.	Sign is not operational.

Lane Signal Fixture			
Unit of Measure Each	<u>Element Number</u> 2676		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all lane signal fixtures. The components of the tunnel lane signal fixtures include the fixtures themselves, the	The lane signal fixtures may also include the following subcomponents: fixtures and conduit.		
supports and the wiring.	The MUTCD Chapter 2 contains the requirements for the shape and wording of		
The total quantity for lane signal fixture is the sum of all the lane signal fixtures.	regulatory, warning and guide signs on a highway or road. It also contains requirements for maintaining minimum retroreflectivity of		
	signs.		

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Component Supports	No deficient support conditions.	Minor loss of mounting hardware.	Moderate deterioration of supports, or limited local failure of supports.	Failed sections or supports.
Component Paint and Corrosion	Free from rust and corrosion.	80% or more is clean, painted, and free from corrosion.	50% to 80% is clean, painted, and free from corrosion.	50% or less is clean, painted, and free from corrosion.
Component Housing or Enclosure	No damages.	Slight damage or cracks to enclosure.	Moderate damage or cracks to enclosure.	Significant damage, cracks, or holes to enclosure.

### 3.9—Protective Systems Section

# **Protective Systems Section**

This section defines tunnel protective system elements and the methodology for determining total element quantities and condition state quantities. The following elements are included.

Element #	Element Name	Unit of Measure
	Protective Coating	
2700	Steel Corrosion Protective Coating	AREA (Feet <sup>2</sup> )
2710	Concrete Corrosion Protective Coating	AREA (Feet <sup>2</sup> )
2750	Fire Protective Coating	AREA (Feet <sup>2</sup> )

Steel Corrosion Protective Coating			
<u>Unit of Measure</u> Area (Feet²)	Element Number 2700		
Specification	<u>Commentary</u>		
Record this element for all steel corrosion protective coating used in the tunnel. The element is for steel elements that have a protective coating system such as paint, galvanization, or other top coat steel corrosion inhibitor.	Effectiveness is an evaluation made by the inspector to classify the degree to which the protection system is functioning to protect the steel beneath.		
The total quantity for protective coatings is the product of the length and width of the entire exposed surface of the element.			

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Chalking	None	Surface dulling.	Loss of pigment.	Not applicable.
Peeling/Bubbling/ Cracking	None	Finish coats only.	Finish and primer coats.	Exposure of bare metal.
Oxide Film Degradation Color/ Texture Adherence	Yellow-orange or light brown for early development. Chocolate-brown to purple-brown for fully developed. Tightly adhered, capable of withstanding hammering or vigorous wire brushing.	Granular texture.	Small flakes, less than ½ in. diameter.	Dark black color. Large flakes, ½ in. diameter or greater; or laminar sheets or nodules.
Effectiveness	Fully effective.	Substantially effective.	Limited effectiveness.	Failed, no protection of the underlying metal.

Concrete Corrosion Protective Coating			
<u>Unit of Measure</u> Area (Feet²)	Element Number 2710		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all concrete corrosion protective coating used in the tunnel. This element is for concrete elements that have a protective coating applied to them. These coatings include silane/siloxane water proofers, crack sealers such as High Molecular Weight Methacrylate (HMWM), or any top coat barrier that protects concrete from deterioration and reinforcing steel from corrosion.	Effectiveness is an evaluation made by the inspector to classify the degree to which the protection system is functioning.		
The total quantity for protective coatings is the product of the length and width of the entire exposed surface of the element.			

		Total Account of the Control of the			
Condition State Definitions					
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4	
Wear	None	Underlying concrete not exposed, coating is showing wear from UV exposure, friction course missing.	Underlying concrete is not exposed; thickness of the coating is reduced.	Underlying concrete is exposed. Protective coating is no longer effective.	
Effectiveness	Fully effective	Substantially effective.	Limited effectiveness.	Failed – no protection of underlying concrete.	

Fire Protective Coating			
<u>Unit of Measure</u> Area (Feet²)	<u>Element Number</u> 2750		
<u>Specification</u>	<u>Commentary</u>		
Record this element for all fire protective coatings used in the tunnel. This element is the coating applied on the tunnel elements to protect these elements from fire.	Fire protection includes fireproofing spray, etc.		
The total quantity for protective coatings is the product of the length and width of the entire exposed surface of the element.			

Condition State Definitions				
Defect	Condition State 1	Condition State 2	Condition State 3	Condition State 4
Effectiveness	Fully effective	Substantially effective.	Limited effectiveness.	Failed – no protection of underlying concrete.

# **Index of Inventory Items and Elements**

#### **Inventory Items**

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- I.2 Tunnel Name
- I.3 State Code
- I.4 County Code
- I.5 Place Code
- I.6 Highway Agency District
- I.7 Route Number
- I.8 Route Direction
- I.9 Route Type
- I.10 Facility Carried
- I.11 LRS Route ID
- I.12 LRS Mile Point
- I.13 Tunnel Portal's Latitude
- I.14 Tunnel Portal's Longitude
- I.15 Border Tunnel State or Country Code
- I.16 Border Tunnel Financial Responsibility
- I.17 Border Tunnel Number
- I.18 Border Tunnel Inspection Responsibility

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- A.2 Year Rehabilitated
- A.3 Total Number of Lanes
- A.4 Average Daily Traffic
- A.5 Average Daily Truck Traffic
- A.6 Year of Average Daily Traffic
- A.7 Detour Length
- A.8 Service in Tunnel

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- C.1 Owner
- C.2 Operator
- C.3 Direction of Traffic
- C.4 Toll
- C.5 NHS Designation
- C.6 STRAHNET Designation
- C.7 Functional Classification

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- D.2 Actual Routine Inspection Date
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- S.2 Tunnel Shape
- S.3 Portal Shapes
- S.4 Ground Conditions
- S.5 Complex

#### **Elements**

#### 3.2—Structural Section

Element #	Element Name	Unit of Measure
	Liners	
1000	Steel Tunnel Liner	AREA (Feet <sup>2</sup> )
1001	Cast-in-Place Concrete Tunnel Liner	AREA (Feet <sup>2</sup> )
1002	Precast Concrete Tunnel Liner	AREA (Feet <sup>2</sup> )
1003	Shotcrete Tunnel Liner	AREA (Feet <sup>2</sup> )
1004	Timber Tunnel Liner	AREA (Feet <sup>2</sup> )
1005	Masonry Tunnel Liner	AREA (Feet <sup>2</sup> )
1006	Unlined Rock Tunnel	AREA (Feet <sup>2</sup> )
1007	Other Tunnel Liner	AREA (Feet <sup>2</sup> )
	Tunnel Roof Girders	
1050	Steel Tunnel Roof Girders	LENGTH (Feet)
1051	Concrete Tunnel Roof Girders	LENGTH (Feet)
1052	Prestressed Concrete Tunnel Roof Girders	LENGTH (Feet)
1053	Other Tunnel Roof Girders	LENGTH (Feet)
	Columns/Piles	
1100	Steel Columns/Piles	EACH
1101	Concrete Columns/Piles	EACH
1102	Other Columns/Piles	EACH
	Cross Passageway	
1150	Steel Cross Passageway	LENGTH (Feet)
1151	Concrete Cross Passageway	LENGTH (Feet)
1152	Shotcrete Cross Passageway	LENGTH (Feet)
1153	Timber Cross Passageway	LENGTH (Feet)
1154	Masonry Cross Passageway	LENGTH (Feet)
1155	Unlined Rock Cross Passageway	LENGTH (Feet)
	Interior Walls	
1200	Concrete Interior Walls	AREA (Feet <sup>2</sup> )
1201	Other Interior Walls	AREA (Feet <sup>2</sup> )
	Portal	
1250	Concrete Portal	AREA (Feet <sup>2</sup> )
1251	Masonry Portal	AREA (Feet <sup>2</sup> )
1252	Other Portal	AREA (Feet <sup>2</sup> )
	Ceiling Slab	
1300	Concrete Ceiling Slab	AREA (Feet <sup>2</sup> )
1301	Other Ceiling Slab	AREA (Feet <sup>2</sup> )

	Ceiling Girder	
1302	Steel Ceiling Girder	LENGTH (Feet)
1303	Concrete Ceiling Girder	LENGTH (Feet)
1304	Prestressed Concrete Ceiling Girder	LENGTH (Feet)
1305	Other Ceiling Girder	LENGTH (Feet)
	Hangers and Anchorages	
1400	Steel Hangers and Anchorages	EACH
1401	Other Hangers and Anchorages	EACH
	Ceiling Panels	
1410	Steel Ceiling Panels	AREA (Feet <sup>2</sup> )
1411	Concrete Ceiling Panels	AREA (Feet <sup>2</sup> )
1412	Other Ceiling Panels	AREA (Feet <sup>2</sup> )
	Invert Slab	
1500	Concrete Invert Slab	AREA (Feet <sup>2</sup> )
1501	Other Invert Slab	AREA (Feet <sup>2</sup> )
	Slab-on-Grade	
1510	Concrete Slab-on-Grade	AREA (Feet <sup>2</sup> )
1511	Other Slab-on-Grade	AREA (Feet <sup>2</sup> )
	Invert Girder	
1550	Steel Invert Girder	LENGTH (Feet)
1551	Concrete Invert Girder	LENGTH (Feet)
1552	Prestressed Concrete Invert Girder	LENGTH (Feet)
1553	Other Invert Girder	LENGTH (Feet)
	Joints	
1600	Strip Seal Expansion Joint	LENGTH (Feet)
1601	Pourable Joint Seal	LENGTH (Feet)
1602	Compression Joint Seal	LENGTH (Feet)
1603	Assembly Joint With Seal	LENGTH (Feet)
1604	Open Expansion Joint	LENGTH (Feet)
1605	Assembly Joint Without Seal	LENGTH (Feet)
	Gaskets	
1610	Gaskets	LENGTH (Feet)

#### 3.3—Civil Section

Element #	Element Name	Unit of Measure
	Wearing Surface	
1800	Concrete Wearing Surface	AREA (Feet <sup>2</sup> )
1801	Asphalt Wearing Surface	AREA (Feet <sup>2</sup> )
1802	Other Wearing Surface	AREA (Feet <sup>2</sup> )
	Traffic Barrier	
1850	Concrete Traffic Barrier	LENGTH (Feet)
1851	Steel Traffic Barrier	LENGTH (Feet)
1852	Other Traffic Barrier	LENGTH (Feet)
	Pedestrian Railing	
1900	Concrete Pedestrian Railing	LENGTH (Feet)
1901	Steel Pedestrian Railing	LENGTH (Feet)
1902	Other Pedestrian Railing	LENGTH (Feet)

#### 3.4—Mechanical Systems Section

Element #	Element Name	Unit of Measure
	Ventilation System	
2000	Ventilation System	EACH
2001	Fans	EACH
	Drainage System	
2050	Drainage and Pumping System	EACH
2051	Pumps	EACH
	Emergency Generator System	
2100	Emergency Generator System	EACH
	Flood Gate	
2150	Flood Gate	EACH

#### 3.5—Electrical Systems Section

Element #	Element Name	Unit of Measure
	Electrical Distribution	
2200	Electrical Distribution System	EACH
	Emergency Distribution	
2250	Emergency Distribution System	EACH

### 3.6—Lighting Systems Section

Element #	Element Name	Unit of Measure
	Tunnel Lighting	
2300	Tunnel Lighting Systems	EACH
2301	Tunnel Lighting Fixtures	EACH
	Emergency Lighting	
2350	Emergency Lighting Systems	EACH
2351	Emergency Lighting Fixtures	EACH

### 3.7—Fire/Life Safety/Security Systems Section

Element #	Element Name	Unit of Measure
	Fire Detection	
2400	Fire Detection Systems	EACH
	Fire Protection	
2450	Fire Protection Systems	EACH
	Emergency Communications	
2500	Emergency Communications Systems	EACH
	Operations and Security	
2550	Tunnel Operations and Security Systems	EACH

#### 3.8—Signs Section

Element #	Element Name	Unit of Measure
	Traffic Guidance	
2600	Traffic Signs	EACH
	Pedestrian	
2625	Pedestrian Signs	EACH
	Variable Message Boards	
2650	Variable Message Boards	EACH
	Lane Signal	
2675	Lane Signals	EACH
2676	Lane Signal Fixture	EACH

### 3.9—Protective Systems Section

Element #	Element Name	Unit of Measure
	Protective Coating	
2700	Steel Corrosion Protective Coating	AREA (Feet <sup>2</sup> )
2710	Concrete Corrosion Protective Coating	AREA (Feet <sup>2</sup> )
2750	Fire Protective Coating	AREA (Feet <sup>2</sup> )

# **Appendix A: Tunnel Coding Example**

The example provided shows the evaluation and coding of inspection data for tunnels of varying complexity. The example includes the use of Inventory Items and Element Items.

### Arch Cape Tunnel



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### Introduction

The original Arch Cape Tunnel was constructed in the late 1930s and was timber lined until the late 1990s when a major rehabilitation replaced the timber with a combination of shotcrete and concrete lining. The timber portals were replaced with reinforced concrete structures at the same time. The lighting system and bicycle warning system and signs, and traffic signs were also replaced. At that time, all utilities were removed from their mountings on the tunnel sidewalls and moved to a utility trench in the tunnel concrete invert slab. No major work has been done on the tunnel in the last 12 years.

The 1998 rehabilitation tunnel support and lining system used two completely different systems. The ends of the tunnel received a waterproof membrane with fleece backing and a 2-stage cast- in-place concrete lining to replace the rotted timber sets. Much of the lagging and cordwood was left in place behind the cast-in-place concrete and pressure grouted. The central portion of the tunnel received permanent rock reinforcement and a fiber reinforced shotcrete lining. In this area, except for one localized area described below, all the timber including the lagging and cordwood was removed. With the removal of the lagging and cordwood, some of the surrounding rock mass also fell in. As a result, the rock surface currently covered with shotcrete has some significant overbreak areas. Weep holes were drilled at the wet spots in the shotcrete lining.

# **Inventory Items**

# Identification

Item ID	Inventory Name	Code
I.1	Tunnel Number	0224700903568
1.2	Tunnel Name	Arch Cape Tunnel
1.3	State Code	41
1.4	County Code	124
I.5	Place Code	43000
I.6	Highway Agency District	05
1.7	Route Number	00101
1.8	Route Direction	0
1.9	Route Type	3

I.10	Facility Carried	US101
I.11	LRS Route ID	000900100S00
l.12	LRS Mile Point	89
I.13	Tunnel Portal's Latitude	45.475886
l.14	Tunnel Portal's Longitude	12.3575887
l.15	Border Tunnel State or Country Code	(blank)
I.16	Border Tunnel Financial Responsibility	(blank)
l.17	Border Tunnel Number	(blank)
I.18	Border Tunnel Inspection Responsibility	(blank)

# Age and Service

Item ID	Inventory Name	Code
A.1	Year Built	1937
A.2	Year Rehabilitated	1998
A.3	Total Number of Lanes	02
A.4	Average Daily Traffic	005000
A.5	Average Daily Truck Traffic	10
A.6	Year of Average Daily Traffic	2010
A.7	Detour Length	028
A.8	Service in Tunnel	3

# Classification

Item ID	Inventory Name	Code	
C.1	Owner	01	
C.2	Operator	01	
C.3	Direction of Traffic	2	
C.4	Toll	0	
C.5	NHS Designation	1	
C.6	STRAHNET Designation	1	
C.7	Functional Classification	22	

# Geometric Data

Item ID	Inventory Name	Code	
G.1	Tunnel Length	1228	
G.2	Minimum Vertical Clearance over Tunn	el Roadway 14.2	
G.3	Roadway Width, Curb-to-Curb	28.0	
G.4	Left Curb and Right Curb Widths	035035	
	▼	_	_

# Inspection

Item ID	Inventory Name	Code	
D.1	Routine Inspection Target Date	0812	
D.2	Actual Routine Inspection Date	0812	
D.3	Routine Inspection Interval	24	
D.4	In-Depth Inspection	1	
D.5	Damage Inspection	0	

# Load Rating and Posting

Item ID	Inventory Name	Code
L.1	Load Rating Method	N
L.2	Rating Factor for AASHTO Type 3 Truck	(blank)
L.3	Rating for AASHTO Type 3 Truck	(blank)
L.4	Rating Factor for AASHTO Type 3S2 Truck	(blank)
L.5	Rating for AASHTO Type 3S2 Truck	(blank)
L.6	Rating Factor for AASHTO Type 3-3 Truck	(blank)
L.7	Rating for AASHTO Type 3-3 Truck	(blank)
L.8	Rating Factor for State Routine Permit Truck	(blank)
L.9	Rating for State Routine Permit Truck	(blank)
L.10	Tunnel Open, Posted or Closed to Traffic	A
L.11	Field Load Posting	(blank)
L.12	Traffic Restrictions	000

# Navigation

Item ID	Inventory Name	Code
N.1	Under Navigable Waterway	0
N.2	Navigable Waterway Clearance	00.0
N.3	Tunnel or Portal Island Protection from Navigation	on 0

# Structure Type and Material

<u>Item</u>	ID Inventory Name	Code
S.1	Number of Bores	1
S.2	Tunnel Shape	2
S.3	Portal Shapes	2
S.4	Ground Conditions	3
S.5	Complex	0

### **Element Identification**

Based on the review of the as-built plans (not included in this example) and field observations, the following tunnel elements have been identified for reporting to the FHWA.

Element Number	Element Name	Tunnel Description
1000	Cast-in-Place Concrete Tunnel Liner	The tunnel ends have a cast-in-place concrete liner
1003	Shotcrete Tunnel Liner	The tunnel interior has a fiber reinforced shotcrete lining
1250	Concrete Portal	The tunnel has a cast-in-place concrete portal at each end
1510	Concrete Slab-on-Grade	The tunnel has a cast-in-place concrete slab on grade
2300	Tunnel Lighting Systems	The tunnel has a lighting system

2301	Tunnel Lighting Fixtures	The tunnel has light fixtures
2600	Traffic Sign	The tunnels has a traffic sign at each end
2625	Pedestrian Sign	The tunnel has a bicycle sign at each end

# **Element Quantities**

The following quantities calculations are based on a review of the as-built plans (not included in this example) and verified through field measurements and observations. The total element quantity is calculated by summing the unit of the particular element. The total quantity is recorded for each element.

Element Number	Element Name	Unit of Measure and Specification	Calculation	Quantity
1000	Cast-in-Place Concrete Tunnel Liner	Area (Feet²): The area of a tunnel liner is the product of the length (along the centerline) of the tunnel and the perimeter of the liner.	Length = 400 feet Perimeter = 45 feet Area = 400 ft x 45 ft = 18000 ft <sup>2</sup>	18000 Feet <sup>2</sup>
1003	Shotcrete Tunnel Liner	Area (Feet²): The area of a tunnel liner is the product of the length (along the centerline) of the tunnel and the perimeter of the liner.	Length = 1850 feet Perimeter = 45 feet Area = 1850 ft x 45 ft = 83250 ft <sup>2</sup>	83250 Feet <sup>2</sup>
1250	Concrete Portal	Area (Feet²): The area of the portal is the product of the width and height of the portal minus the area of the roadway opening. The area may include wingwalls which retain soil and rock near the portal but does not include walls leading up to the portal.	Portal = 400 ft <sup>2</sup> Wingwalls = 700 ft <sup>2</sup> Area = 400 ft <sup>2</sup> + 700 ft <sup>2</sup> = 1100 ft <sup>2</sup>	1100 Feet <sup>2</sup>
1510	Concrete Slab- on-Grade	Area (Feet²): The area of the slab-on- grade is the product of the width and length of the.	Width = 24 feet Length = 2250 feet Area = 24 ft x 2250 ft = 54000 ft <sup>2</sup>	54000 Feet <sup>2</sup>
2300	Tunnel Lighting Systems	Each: The total quantity for tunnel lighting system is the sum of all the tunnel lighting systems.	1 Tunnel Lighting System	1 Each
2301	Tunnel Lighting Fixtures	Each: The total quantity for tunnel lighting fixture is the sum of all the tunnel lighting fixtures.	560 Tunnel Lighting Fixtures	560 Each

Element Number	Element Name	Unit of Measure and Specification	Calculation	Quantity
2600	Traffic Sign	Each: The total quantity for traffic signs is the sum of all the traffic signs.	2 Traffic Signs	2 Each
2625	Pedestrian Sign	Each: The total quantity for pedestrian sign is the sum of all the pedestrian signs.	2 Pedestrian Signs	2 Each

### **Element Condition States**

13900 Feet<sup>2</sup>

The following condition state codes are based on visual assessments and supplemented with non-destructive or destructive testing as appropriate. The Condition State per unit of the element is assessed for each element. Quantities are assigned to the worst applicable condition state determined over the unit assessed. The quantities are summed and recorded for each condition state.

Element Number		Element Name			Quantity			
1000	Cast-in-Place Concrete Tunnel Liner 180					1800	0 Feet <sup>2</sup>	
Inspection Results	S							
A visual assessment of the cast-in-place concrete tunnel liner was performed. The inspector identified and documented the location of leakage and cracking in the liner. The inspector identified and documented cracking, distortion, leakage in the tunnel liner. No delaminations, spalls, patched areas, or efflorescence was present in the liner.								
Condition State Defect Assessment								
Defect	Conditio 1	tion State Condition State				Cond Stat	dition te 3	Condition State 4
Delaminations/ Spalls/ Patched areas	6900	) Feet <sup>2</sup> 0 Feet <sup>2</sup>		Feet <sup>2</sup>	0 Feet <sup>2</sup>		eet²	0 Feet <sup>2</sup>
Leakage	3000 1	Feet <sup>2</sup>	100	00 Feet <sup>2</sup>		0 Feet <sup>2</sup>		
Distortion	0 Fe	eet²	300	00 Feet <sup>2</sup>		0 Feet <sup>2</sup>		
Cracking	4000 Feet <sup>2</sup> 100 Feet <sup>2</sup> 0 Feet <sup>2</sup>							
Efflorescence	0 Fe	eet <sup>2</sup>	0	Feet <sup>2</sup>		0 F	eet²	
Condition State Quantities								
Condition Sta	ite 1 Co	ondition Stat	te 2	Condition	State	e 3	Condi	tion State 4

Element Number Element Name Quantity
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4100 Feet<sup>2</sup>

0 Feet<sup>2</sup>

0 Feet<sup>2</sup>

1003	1003 Shotcrete Tunnel Liner 83250 Feet <sup>2</sup>								
Inspection Results									
A visual assessment of the shotcrete tunnel liner was performed. The inspector identified and documented the location of leakage and cracking in the liner. No delaminations, spalls, patched areas, distortion, or efflorescence was present in the liner.									
Condition State D	efect As	ssessment							
Defect	Condition		e Condition State		Condition		Condition		
Defect		1		2	State 3		te 3	State 4	
Delaminations/	71	150 Feet <sup>2</sup>	0	Feet <sup>2</sup>	0 Feet <sup>2</sup>			0 Feet <sup>2</sup>	
Spalls/									
Patched areas									
Cracking Sizes	20	000 Feet <sup>2</sup>	100	00 Feet <sup>2</sup>		0 Feet <sup>2</sup>			
Leakage	40	000 Feet <sup>2</sup>	500	00 Feet <sup>2</sup>	100 Feet <sup>2</sup>				
Distortion		0 Feet <sup>2</sup>	0	Feet <sup>2</sup>		0 F	eet <sup>2</sup>		
Efflorescence		0 Feet <sup>2</sup>	0	Feet <sup>2</sup>		0 F	eet <sup>2</sup>		
Condition State Quantities									
Condition Sta	ite 1	Condition S	State 2	Condition	State	State 3 Condi		tion State 4	
77150 Feet	2	6000 Fe	et <sup>2</sup>	100 F	eet <sup>2</sup>		0 Feet <sup>2</sup>		

Element Number Element Name					Quantity				
1250 Concrete Portal					1100 Feet <sup>2</sup>				
Inspection Results	Inspection Results								
follows: South Po	A visual inspection was performed on the concrete slab-on-grade. The inspection results are as follows: South Portal east wingwall has single crack which is 4 feet and length and 0.125 inches wide. No other defects were noted.								
Condition State Defect Assessment									
Defect	Condition State 1	Cond	ition State 2		Condition Condition State 3 State 4				
Delaminations/ Spalls/ Patched areas	1090 Feet <sup>2</sup>	С	0 Feet <sup>2</sup>		eet²	0 Feet <sup>2</sup>			
Cracking Sizes	0 Feet <sup>2</sup>	C	Feet <sup>2</sup>	10 F	-eet²				
Efflorescence	0 Feet <sup>2</sup>	C	Feet <sup>2</sup>	0 F	eet²				
Settlement	Settlement 0 Feet <sup>2</sup> 0 Feet <sup>2</sup> 0 Feet <sup>2</sup>								
Condition State Quantities									
Condition Sta	te 1 Condition S	State 2	Condition	State 3	Cond	ition State 4			

Element Number	Element Name	Quantity
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10 Feet<sup>2</sup>

0 Feet<sup>2</sup>

1090 Feet<sup>2</sup>

0 Feet<sup>2</sup>

1510		Concrete	Slab-on-G	Slab-on-Grade 54000			Feet <sup>2</sup>		
Inspection Results									
A visual inspection was performed on the concrete slab-on-grade. The inspection results are as follows: Damage to utility trench paving patch which is located in the SB lane near the south portal. The patch is 5 feet by 2 feet in dimension (10 Feet²). There is 3 inches of settlement and a void up to 7 inches below the patch. No other defects were noted.									
Condition Defec	Condition Defect Assessment								
Defect	Condi	tion State 1	Condition State 2			Condition State 3		Condition State 4	
Delaminations/ Spalls/ Patched areas	534	53490 Feet <sup>2</sup>		0 Feet <sup>2</sup>		0 Feet <sup>2</sup>		0 Feet <sup>2</sup>	
Cracking	0	Feet <sup>2</sup>	0 F	-eet <sup>2</sup>		0 Fee	et²		
Settlement	0	Feet <sup>2</sup>	0 F	-eet²	10 Feet <sup>2</sup>				
Condition State Quantities									
Condition St	ate 1	Condition	State 2 Conditio		n State 3		Cond	Condition State 4	
53490 Fee	et <sup>2</sup>	0 Fee	et²	10 F	-eet²		0 Feet <sup>2</sup>		

Element Number	Element Name					Quantity			
2300	Tunnel Lighting Sys			stems 1 Each			l		
Inspection Results	Inspection Results								
The Tunnel Lighting	System wa	as inspecte	ed and four	nd to be ope	erating	at its ca	apacity.		
Condition State Def	Condition State Defect Assessment								
Defect	Condition State		Condition State 2		(	Condition State 3		Condition State 4	
System Operations	1 Each		0 E	Each 0 E		0 Each 0		0 Each	
Condition State Quantities									
Condition State 1 Condition 2		n State Condition		on Sta	on State 3 Cond		lition State 4		
1 Each		0 Ea	ich	0 1	Each	ach		0 Each	

Element Number	Quantity						
2301	Tunnel Lighting Fixtures	560 Each					
Inspection Results							
The tunnel lighting fixtures are numbered sequentially starting with Lighting Fixture #1 at the south portal and ending with Lighting Fixture 560 at the north portal.							

A visual inspection was performed on the lighting fixtures. The inspection results are as follows: Housing or Enclosures: There is no damage to the housing or enclosure of Lighting Fixtures 1 through 560.

Component Supports: Lighting Fixtures 61 through 65 exhibit minor loss of mounting hardware. There are no deficient support conditions for all other lighting fixtures.

Component Paint and Corrosion: Lighting Fixtures 61 through 65 exhibit corrosion in excess of 25%. All other lighting fixtures are free from rust and corrosion.

#### Condition State Defect Assessment

Defect	Condition State	Condition State	Condition State 3	Condition State 4
Component Supports	555 Each	0 Each	0 Each	0 Each
Component Paint and Corrosion	0 Each	0 Each	5 Each	0 Each
Component Housing or Enclosure	0 Each	0 Each	0 Each	0 Each

#### Condition State Quantities

Condition State 1	Condition State 2	Condition State 3	Condition State 4
555 Each	0 Each	5 Each	0 Each

Element Number	Element Name	Quantity
2600	Traffic Sign	2 Each

#### Inspection Results

A visual inspection was performed on the traffic sign supports. No defects in the support conditions were observed.

#### Condition State Defect Assessment

Defect	Condition State	Condition State	Condition	Condition
Defect	1	2	State 3	State 4
Support condition	2 Each	0 Each	0 Each	0 Each

#### **Condition State Quantities**

Condition State 1	Condition State 2	Condition State 3	Condition State 4
2 Each	0 Each	0 Each	0 Each

Element Number	Element Name	Quantity
2625	Pedestrian Sign	2 Each
Inspection Results		

	A visual inspection was performed on the pedestrian sign supports. No defects in the support conditions were observed (Condition State 1).								
Condition State	Condition State Defect Assessment								
Defect	Datast Condition State Condition State Condition Condition								
Delect		1		2		3	State 4		
Support condition	2 Each 0 Each 0 Each 0 Each					0 Each			
Condition State Quantities									
Condition State 1   Condition State 2   Condition State 3   Condition State 4									
2 Each	2 Each 0 Each 0 Each 0 Each								

# **Element Quantity and Condition State Summary**

The element quantities and condition states described above are summarized as follows:

Element Number	Element Name	Unit	Quantity	Condition State 1	Condition State 2	Condition State 3	Condition State 4
1000	Cast-in-Place Concrete Tunnel Liner	Feet <sup>2</sup>	18000	13900	4100	0	0
1003	Shotcrete Tunnel Liner	Feet <sup>2</sup>	83250	77150	6000	100	0
1250	Concrete Portal	Feet <sup>2</sup>	1100	1090	0	10	0
1510	Concrete Slab- on-Grade	Feet <sup>2</sup>	54000	53490	0	10	0
2300	Tunnel Lighting Systems	Each	1	1	0	0	0
2301	Tunnel Lighting Fixtures	Each	560	555	0	5	
2600	Traffic Sign	Each	2	2	0	0	0
2625	Pedestrian Sign	Each	2	2	0	0	0

## References

AASHTO Manual for Bridge Evaluation, First Edition, 2011

FHWA Tunnel Operations Maintenance, Inspection and Evaluation (TOMIE) Manual

AASHTO Guide Manual for Bridge Element Inspection, First Edition, 2011

Manual for Uniform Traffic Control Devices (MUTCD), 2009 Edition with Revision Numbers 1 and 2 incorporated, dated May 2012

NTSB Number HAR-07/02 Ceiling Collapse in the Interstate 90 Connector Tunnel Boston, Massachusetts July 10, 2006